

**QUESTIONNAIRE to be used for biennial reporting
on the application of the IUU Regulation**

Reporting period 2016-2017 (deadline for submission 30 April 2018)

Member State:	Denmark
Organisation:	The Danish Fisheries Agency (DFA) The Danish Veterinary and Food Administration (DVFA)
Date:	23.4.2018
Name, position and contact details of responsible official:	The Danish Fisheries Agency Email: IUU@Fiskeristyrelsen.dk (Import and Export) The Danish Veterinary and Food Administration (Import and re-export): Sanne Thorn Jensen, Single Liaison Officer Email: iuu@fvst.dk / st@fvst.dk

May the Commission provide a copy of this questionnaire to other Member States?	
Yes:	X
Yes except for questions (list):	
No:	

Please state your notified authorities under the IUU Regulation in accordance with:

Article 15.2 (exportation of catches):
The Danish Fisheries Agency (DFA)

Article 17.8 (verification of catch certificates):
The Danish Fisheries Agency (DFA)
The Danish Veterinary and Food Administration (DVFA)

Article 21.3 (re-exportation):
The Danish Veterinary and Food Administration (DVFA)

Article 39.4 (nationals):
The Danish Fisheries Agency (DFA)

Section 1. Information on legal framework¹

Since the last reporting exercise covering the period 2014-2015, has your country adopted/modified national law or any administrative guides for the application of Council Regulation (EC) No 1005/2008 on illegal, unreported and unregulated fishing (IUU Regulation)?

- Yes No (DFA)
 Yes No (DVFA)

If yes, please detail and provide copies or provide link to the official national database

DVFA has modified our administrative guides for the DVFA IUU control (attached as a pdf) and for the importers. The last one is found here:

<https://www.foedevarestyrelsen.dk/Selvbetjening/Guides/Sider/Saadan-importerer-du-fisk-fra-tredjelande.aspx>

.....

Section 2. Information on administrative organisation²

2.1. Does your country have different authorities/services to deal with the implementation of the IUU Regulation?

- Yes No

2.2. If different authorities/services are involved, please distinguish between:

- the control of direct landings of third country fishing vessels; DFA
- validation of catch certificates upon exports; DFA
- verification of catch certificates for imports under direct landing; DFA
- verification of catch certificates for imports arriving by other means than fishing vessels (e.g. by containers, trucks); DVFA
- Validation and verifications of re-exports. DVFA

a) internal co-operation (between local/regional authorities and head-quarter);

Please explain and describe this cooperation:
DVFA

- Single Liaison Officer situated in the International Trade Division deals with
 - Requests for verification to Flag State authorities
 - Mutual assistance requests from the Commission and other Member States
 - Issuance of administrative guidelines to the IUU control staff at the veterinary border inspection posts and to importers
 - Training of staff
 - Contact to the Commission and to the Member States
- Danish IUU control situated on the border inspection posts
 - Import IUU control (documentary, identity and physical checks)
- IUU control in Aalborg
 - Re-export control (documentary checks)

¹ This section 1 is to be filled-in by all Member States i.e. coastal and landlocked Member State.

² This section 2 is to be filled-in by all Member States i.e. coastal and landlocked Member State.

-
- b) co-operation with other authorities and allocation of tasks for various authorities in the implementation of the IUU Regulation (Fisheries, Health, Customs, Coast Guard, Navy, etc.);

Please explain and describe this cooperation: DFA and DVFA have established a written procedure describing the co-operation for the implementation of 1005/2008. Cooperation between DVFA and the Danish Customs Service: There is both central and regional co-operation with the Customs Services. There is a written agreement about cooperation at central level.

.....

- c) how many officials are involved in the implementation of the catch certification scheme?

Please specify the number of officials expressed in Full Time Equivalent (FTE):

DFA: Import and Export control, 6 FTE

DVFA: Import and re-export control, 6.5 FTE (divided on 19 persons)

- d) Do the authorities of your country have the possibility to audit/verify a company for the purposes laid down in the IUU Regulation?

Yes *No*

If yes, which and how many audits/verifications have they undertaken since the last reporting exercise covering the period 2014-2015? Please detail the results:
None.....

- 2.3. Does your country have freezones/freeports³ in which activities relevant to importation/exportation/processing of fishery products are authorised?

Yes *No*

Section 3. Information on direct landings and transshipments of fishery products by third country fishing vessels⁴ (including information on port inspections and infringements)⁵
--

- 3.1. Does your country have designated ports for direct landings or transshipment operations of fishery products and port services of third country fishing vessels (Article 5 of the IUU Regulation⁶)?

Yes *No*

If yes, please list your country's designated ports (including ports designated under Regional Fisheries Management Organisations requirements) and answer to questions 3.2. to 3.7.:

*Esbjerg
Fredericia
Hanstholm
Hirtshals*

³ https://ec.europa.eu/taxation_customs/business/customs-procedures/what-is-importation/free-zones_en

⁴ Fishing vessels as defined in article 2.5 of the IUU Regulation

⁵ This section 3 refers to Chapter II (Articles 4 to 11) of the IUU Regulation and is applicable to coastal Member States. Landlocked Member States should not fill in this section.

⁶ Please note that ports designated under Regional Fisheries Management Organisations must also be designated under the IUU Regulation with restrictions if necessary (species etc.)

København
 Skagen
 Ålborg
 Århus

3.2. How many landings and transshipments in designated ports of third country vessels have been recorded by your country between 1 January 2016 until 31 December 2017? How many inspections has your country carried out and how many infringements have been detected?

Please fill-in the table below (2016):

Inspections of third country vessels in Member States ports (2016)								
Type of operation	Vessels	Figures (2016)	Flag of the third country vessel(s)*					Total
			FS1 NOR	FS2 CAN	FS3 FRO	FS4 GRL	FS5 RUS	
Landings	Non-EU vessels using MS designated ports	Number of landings	541	1	21	13	1	577
		Number of inspections	48	0	4	0	0	51
		% of inspections / landings	8,9 %	9,0	19,0 %	0	0	0
		Number of infringements	3	0	0	0	0	3
Transshipments	Non-EU vessels using MS designated ports	Number of transshipments in ports	-					
		Number of inspections	-					
		% of inspections / transshipments	-					
		Number of infringements	0					

*Use ISO Alpha-2 country codes

Please fill-in the table below (2017):

Inspections of third country vessels in Member States ports (2017)								
Type of operation	Vessels	Figures (2017)	Flag of the third country vessel(s)*					Total
			Ex. NO	FS1 NOR	FS2 GRL	FS3 FRO	FS4 CAN	
Landings	Non-EU vessels using MS designated ports	Number of landings	100	576	7	5	3	591
		Number of inspections	10	43	0	0	0	43
		% of inspections / landings	10%	7,5 %	0	0	0	7,3 %
		Number of infringements	3	9	0	0	0	9
Transshipments	Non-EU vessels using MS designated ports	Number of transshipments in ports	2	-				
		Number of inspections	2	-				
		% of inspections / transshipments	100%	-				
		Number of infringements	0	-				

*Use ISO Alpha-2 country codes

3.3. From the figures above, in the cases where your country detected infringements by third country vessels between 1 January 2016 and 31 December 2017, please specify the flag, the vessel's name, the type of infringement and the measures taken (Article 11 of the IUU Regulation).

Please fill-in the table below (2016):

Flag of the third country vessel*	Name of the third country fishing vessel	Type of infringements	Measures taken
NO	Ø123H "Ann Sofie"	Landed before authorised time	Fine 5.000 DKK
NO	SF127V "Ringbas"	Landed weight on boxes above 5 % in difference	Fine 2.500 DKK
NO	N119SO "Ketlin"	Missing information about catch of herring at the logbook	Written warning

*Use ISO Alpha-2 country codes

Please fill-in the table below (2017):

Flag of the third country vessel*	Name of the third country fishing vessel	Type of infringements	Measures taken
NO	M31HØ "Gollenes"	Missing information at the landing declaration	Fine 11.000 DKK, case pending.
NO	H50AV "Haugagut"	Landed before authorised time	Fine 10.000 DKK, case pending
NO	M31HØ "Gollenes"	Species missing at logbook and C.C.	Fine 44.000 DKK, case pending
NO	H569B "Mostein Jr"	Species missing at logbook and C.C.	Fine 43.000 DKK, case pending
NO	H15AV "Kremmervik"	Species missing at logbook and C.C.	Fine 75.000 DKK, case pending
NO	H569B "Mostein Jr."	Species missing at logbook and C.C.	Fine 34.000 DKK, case pending
NO	VA330S "Hellevig"	Species missing at logbook and C.C.	Fine 67.000 DKK, case pending
NO	VA95K "Piraja"	Species missing at logbook and C.C.	Fine 38.000 DKK, case pending
NO	Ø123H "Ann Sofie"	Landed before authorised time	Fine 10.000 DKK, case pending

*Use ISO Alpha-2 country codes

3.4. Has your country had any problems with third country fishing vessels when implementing Articles 6 (prior notice) and 7 (authorisation) of the IUU Regulation?

Yes No

If yes, please detail the nature of the problems:

In 2016: One vessel landed before authorised time

In 2017: Two vessels landed before authorised time

3.5. Since January 2016, has your country denied access to its ports to a fishing vessel for port services, activities of landing or transshipment of fishery products based on the conditions of the IUU Regulation?

Yes No

If yes, please detail the nature of the problem, the number of vessels concerned and their flags:

In 2016:

In 2017:

3.6. Do you have third country fishing vessel landings in transit in your country with final destination in another Member State? [Article 19.3 of the IUU Regulation]

Yes No

If yes, please indicate the number of landings in transit per year:

In 2016:

In 2017:

3.7. In order to determine the cases for port inspection, does your country use risk assessment criteria [cf. benchmarks for port inspections, Article 4 of Regulation (EC) No1010/2009]?

Yes No

Not applicable (e.g. in the absence of landings/transhipments from third countries)

If yes, please detail: Inspections are carried out by random selection and based on the risk assessment. This with reference to the Danish SCIP.

Section 4. Information on catch certification scheme for <u>importation</u> for the purpose of the IUU Regulation⁷
--

4.1. How many catch certificates from non-EU countries were presented to the authorities of your country from 1 January 2016 until 31 December 2017?

⁷ Section to be filled-in by all Member States. Article 2.11 of the IUU Regulation – "*importation means the introduction of fishery products into the territory of the Union, including for transhipment purposes at ports in its territory*"

Flag State (incl.* EU)	2016	2017
AR Argentina	13	26
AU Australia	4	3
BR Brazil	1	2
CA Canada	1121	1239
CL Chile	17	42
CN China	149	134
CO Columbia	62	52
CW Curacao	1	1
DE Germany	37	1
DK Denmark	63	22
EC Ecuador	20	11
EE Estonia	57	152
ES Spain	319	328
FO Faroe Islands	1269	1391
FR France	156	284
GB Great Britain	129	185
GH Ghana	3	22
GL Greenland	2445	2583
GM Gambia	0	3
ID Indonesia	40	86
IE Ireland	2	1
IN India	24	45
IS Iceland	2320	1968
IT Italy	14	16
KR Korea	27	10
LK Sri Lanka	1	26
LT Lithuania	1	20
LV Latvia	0	2
MA Morocco	6	5
MU Mauritius	56	78
MM Myanmar	0	1
MV Maldives	30	18
NL Netherlands	0	1
NO Norway	10927	10623
NZ New Zealand	38	58
PA Panama	10	1

PG Papua New Guinea	2	13
PH Philippines	41	25
PT Portugal	5	1
RU Russia	71	110
SB Salomon Islands	1	0
SC Seychelles	144	137
SG Singapore	0	1
SN Senegal	0	1
SV El Salvador	3	4
TH Thailand	91	62
TW Taiwan	29	18
US USA	568	439
VN Vietnam	187	130
Total	20504	20381

*EU CC attached to an annex IV are counted here.

4.2. From the number above, how many recognised RFMO catch certificates accompanied imports into your country? *Please detail per RFMO certificate and year.*

RFMO document	2016	2017
<i>ICCAT (electronic)-bluefin tuna catch document</i>	5	10
<i>Dissostichus spp. (CCAMLR)</i>	0	0
<i>CCSBT CDS</i>	0	0
Total	5	10

4.3. Has your country received processing statements from 1 January 2016 until 31 December 2017?

Yes

No

If yes, how many processing statements under Article 14.2 accompanied imports into your country? If possible, please provide details per year and per processing country. Please only report processing statements received from non-EU countries:

Processing non-EU State	2016	2017
CA Canada	89	7
CI Côte d'Ivoire	2	2
CL Chile	0	2
CN China	168	171
EC Ecuador	8	8

Processing non-EU State	2016	2017
FO Faroe Islands	189	190
GL Greenland	64	9
ID Indonesia	1	0
IS Iceland	226	479
MG Madagascar	0	4
MU Mauritius	179	238
MY Malaysia	10	10
NO Norge	135	153
PE Peru	0	7
PG Papua New Guinea	23	12
SC Seychelles	392	497
SG Singapore	11	2
SV El Salvador	2	1
TH Thailand	187	161
TW Taiwan	2	0
UA Ukraine	235	523
US USA	13	14
VN Vietnam	1	2
Total	1937	2492

4.4. Please indicate if the information in processing statements referring to the corresponding catch certificates is retained and recorded:

Yes *No*

Not applicable (e.g. in the absence of processing statements received from non-EU countries in 2016-2017)

4.5. Has your country received requests to authorise APEO⁸s in 2016-2017?

Yes *No*

If yes, how many requests has your country received and how many APEOs have been authorised?

.....

⁸ Approved Economic Operators – IUU Regulation, Article 16 and Implementing Regulation (EC) 1010/2009, Chapter II

4.6. Has your country adopted administrative rules referring to the management and control of APEO in 2016-2017?

Yes *No*

Not applicable (e.g. absence of APEO request)

If yes, please detail:

.....

4.7. Has your country validated re-export certificates for products imported from 1 January 2016 until 31 December 2017?

Yes *No*

If yes, how many re-export certificates? Please detail per year and, if possible, per destination country:

Destination country (non-EU)	2016	2017
<i>Third Countries*</i>	2250	2559
Total	2250	2559

*Denmark does not request information about destination for re-exported consignments.

4.8. Does your country monitor if the catches for which your country has validated a re-export certificate actually leave the EU?

Yes *No, as the exporter has to pay fees for the control we expect the products to be re-exported.*

Not applicable (e.g. in the absence of validation of re-export certificates in 2016-2017)

If yes, please detail:

.....

4.9. Has your country established any IT tools to monitor the catch certificates and processing statements accompanying imports?

Yes *No*

If yes, does it include a module for re-exportation of imported catches?

Yes *No*

4.10. Does your country implement the provisions regarding transit under Article 19.2 at the point of entry or the place of destination?

At the point of entry *At the place of destination* *Not implemented*

Section 5. Information on catch certification scheme for exportation⁹

5.1. Has your country established a procedure for validation of catch certificates for exportation of catches from own vessels in accordance with Article 15?

Yes *No*

Not applicable (e.g. in the absence of validation of catch certificates for exportation in 2016-2017)

If yes, please explain briefly the established procedure and answer questions 5.2 to 5.5.

The information stated in the catch certificate is validated by cross check with VMS track, catch (amount and area) stated in the logbook and validity of license.

5.2. Has your country validated catch certificates for exportation in 2016-2017?

Yes *No*

If yes, how many catch certificates did your country validate from 1 January 2016 to 31 December 2017? If possible, please provide details per requesting third country/country of destination in the following table:

Destination State	Year	
	2016	2017
<i>Not known*</i>	1.936	1.903
<i>No</i>	63	45
<i>GL</i>	31	22
<i>Chile</i>	0	2
Total	2.030	1.972

(*): Issued for shrimp landing in DK, by flag vessels, but exported from NL for processing in 3rd Country, the 3rd Country is not known by DNK.

5.3. Has your country established any IT tool to monitor the catch certificates validated for exports stemming from own vessels?

Yes *No*

5.4. Does your country monitor that the catches for which your country has validated catch certificates actually leave the EU?

Yes *No*

Not applicable (e.g. in the absence of validation of catch certificates for exportation in 2016-2017)

5.5. Has your country refused the validation of a catch certificate between 1 January 2016 and 31 December 2017?

Yes *No*

⁹ Section to be filled-in by flag Member States.

Not applicable (e.g. in the absence of request for validation of catch certificates for exportation in 2016-2017)

If yes, please detail:

Number (per year):

Reason:

Follow-up:

Section 6. Information on verifications of catch certificates for importation according to Article 17.1 to 5 of IUU Regulation¹⁰
--

6.1. Has your country established a procedure for verification of catch certificates for importation in accordance with Article 17.2?

Yes

No

If yes, please detail:

The procedure is specified in the guidelines to the IUU control inspectors. All CC are verified by the inspectors. The only exemption is CC's from Norway and Greenland. See below.

The inspectors check as a minimum:

- the validity of the CC in relevant databases,
- the IUU vessel list,
- that information given in CC is comparable with information from other relevant documents,
- if RFMO covered species the vessel lists (both catching vessel and carrier vessel),
- other relevant RFMO rules (closure periods etc.),
- if relevant transshipment positions,
- traceability for the fish from the catching vessel to the importation into the EU,
- physical examination of the consignments if the consignment is also checked by the veterinary border control.

All CC or Annex IV with errors, doubt about authenticity, from countries with yellow card, etc. will be sent to the SLO for further verification. The SLO assesses whether the CC/Annex IV/ 14(1) should be sent for verification to the flag state/processing country/transit country.

In our guideline, it is specified that 5 to 10% of the catch certificates should be checked more thoroughly.

Denmark considers Norway and Greenland low risk countries and only 25 % of the CC are controlled and verified if necessary. All Norwegian and Greenlandic CC are still recorded in the excel sheet and CC and Annex IV are filed in our database. If Greenlandic and Norwegian CC are accompanying a processing statement, they will be controlled 100 % based on the risk assessment since processing in another country is a risk parameter.

.....

6.2. How many catch certificates have been verified by your administration from 1 January 2016 until 31 December 2017? Please specify, separately for each year:

¹⁰ Section to be filled-in by all Member States

Flag State of origin (EU or non-EU)	Number of verifications 2016		Number of verifications 2017	
	No of basic document-based verifications ¹¹	No of in-depth verifications ¹²	No of basic document-based verifications	No of in-depth verifications
AR Argentina	13	0	17	9
AU Australia	4	0	0	3
BR Brazil	1	0	0	2
CA Canada	923	198	872	367
CL Chile	13	4	29	13
CN China	146	3	67	67
CO Columbia	41	21	32	20
CW Curacao	1	0	1	0
DE Germany	35	2	0	1
DK Denmark	47	16	19	3
EC Ecuador	8	12	4	7
EE Estonia	44	13	104	48
ES Spain	68	251	62	266
FO Faroe Islands	1034	235	1178	213
FR France	11	145	29	255
GB Great Britain	122	7	134	51
GH Ghana	1	2	10	12
GL Greenland	632	213	736	146
GM Gambia	-	-	3	0
ID Indonesia	32	8	32	54
IE Ireland	2	0	0	1
IN India	24	0	26	19

¹¹ See fields CC1 to CC6 (*Preliminary overview checks “helicopter view”*) of the EFCA Common methodology for IUU catch certificates verification and cross-checks.

¹² See fields CC7 to CC32 (*Verify and cross-check information related to the form, flag state, validating authority, fishing vessel, product(s), transhipment operations*) of the EFCA Common methodology for IUU catch certificates verification and cross-checks.

Flag State of origin (EU or non-EU)	Number of verifications 2016		Number of verifications 2017	
	No of basic document-based verifications ¹¹	No of in-depth verifications ¹²	No of basic document-based verifications	No of in-depth verifications
IS Iceland	2022	298	1644	324
IT Italy	0	14	1	15
KR Korea	20	7	5	5
LK Sri Lanka	1	0	11	15
LT Lithuania	0	1	16	4
LV Latvia	-	-	1	1
MA Morocco	1	1	5	0
MU Mauritius	22	34	7	71
MM Myanmar	-	-	1	0
MV Maldives	25	5	8	10
NL Netherlands	-	-	1	0
NO Norway	2439	436	2588	447
NZ New Zealand	18	20	41	17
PA Panama	3	7	0	1
PG Papua New Guinea	1	1	9	4
PH Philippines	18	23	19	6
PT Portugal	5	0	1	0
RU Russia	48	23	72	38
SB Salomon Islands	1	0		
SC Seychelles	46	98	39	98
SG Singapore	-	-	1	0
SN Senegal	-	-	0	1
SV El Salvador	3	0	4	0
TH Thailand	70	21	32	30

Flag State of origin (EU or non-EU)	Number of verifications 2016		Number of verifications 2017	
	No of basic document-based verifications ¹¹	No of in-depth verifications ¹²	No of basic document-based verifications	No of in-depth verifications
TW Taiwan	20	9	12	6
US USA	378	190	276	163
VN Vietnam	151	36	71	59
Total	8499	2350	8220	2874

6.3. Does your country use a risk assessment approach for verification of catch certificates in accordance with Article 17?

Yes *No*

If yes, please detail (e.g. EU criteria for verifications (Article 31 of Commission Regulation 1010/2009); EFCA risk assessment methodology; national criteria).

The risk assessment is based on the criteria from article 31 in 1010/2009. The risk parameters are provided to the IUU control inspectors via our guidelines and newsletters e.g. about new yellow card/red card countries, Mutual assistance etc.

.....

6.4. Does your country also physically verify the consignments?

Yes *No*

If yes, please detail:

Number (per year): 2016: 2700 and 2017: 2600

Method of selection: DK combines veterinary border control with the IUU control; this means that the physical checks are carried out to ensure both veterinary and IUU requirements. Consignments from Norway, Iceland, Greenland and the Faroe Islands will be physically checked at random in campaigns in cooperation with the customs authorities.

.....

Follow-up: DVFA has not found any inconsistencies in the physical checks of the consignments as regards IUU.

Section 7. Verification requests to flag States¹³

7.1. Has your country sent requests for verifications under Article 17.6 of the IUU Regulation to other countries authorities in 2016-2017?

Yes *No*

¹³ Section to be filled-in by all Member States

If yes, how many requests for verifications? *Note: please provide separate data for 2016 and 2017:*

Flag States	No of requests for verifications 2016	Justifications (Articles 17.4 and 17.6 of the IUU Regulation)-	No of requests for verifications 2017	Justifications (Articles 17.4 and 17.6 of the IUU Regulation)
Brazil	1	First CC from Brazil received.	2	Stamp on CC missing. Signature not in SMS database.
Canada	15	CC replaced but still valid in the Canadian database. CC not valid in the Canadian database. Licenses expired. Weight not correct in CC or Annex IV	4	CC replaced but still valid in the Canadian database.
Chile	5	Vessel name and registration number incorrect. Date for exporters request for CC is missing. Statistical documents for SWO, with wrong vessels and missing signatures.	7	Vessel registration number wrong. Validity of CC. Vessel name wrong. HS code wrong. Transshipment details with mistakes. Reference to valid licenses missing. CC format different.
China	1	Annex IV with wrong species	0	-
Colombia	2	Question about fishing for tuna in closure periods. HS code mistakes.	3	CC format and stamp different from the SMS database versions.

Curacao	1	CC validity and valid license for Gabon.	0	-
Estonia	0	-	1	Weight in CC does not match with weight in annex IV from Norway.
Faroe Islands	4	Licenses expired, licenses for fishery in Russia waters. Validity of CC.	2	No valid licenses. Signature different from previous signatures in CC.
France	4	Signature not in the SMS database. IOTC license expired. Validity of CC.	4	Signature not in the SMS database. Stamp not visible.
Ghana	0	-	1	Annex to CC with different reference number.
Greenland	7	Weight in CC. Transshipment details with mistakes.	0	-
Iceland	4	Annex IV refers to invalid CC. Wrong HS codes.	6	Licenses to fishery in Russia and Svalbard waters missing. Vessel suspended according to the IS homepage. Wrong weight in annex IV.
India	0	-	1	Stamp different for the stamp in the SMS database.

Indonesia	0	-	1	Weight does not match other documents. Exporter's signature after validation. List of vessels do not refer to the CC reference number.
Italy	1	Signature and address not in the SMS database.	2	Signature not in the SMS database. Exporter's signature after validation.
Korea	1	Transhipped before catch.	0	-
Madagascar	0	-	1	Annex IV with wrong reference to CC.
Maldives	1	Expired license.	1	Weight in Cc not specific per species.
Mauritius	1	Vessel not in IOTC.	4	No stamp on CC. Transhipment dates wrong. License expired. Two CC with same number.

Norway	6	Annex IV invalid in the database. Weight does not match with other documents. Species not mentioned. 14.1. without reference to CC. Weight in annex IV. BFT without eBCD.	1	CC invalid according to the Norwegian database.
Panama	1	Expired license.	0	-
Philippines	4	CC validity and expired license. Vessel not in WCPFC list. Transshipment both at sea and in port indicated on CC.	1	Doubt about fishing licenses.
Russia	2	Missing information about transshipment at sea. Signature not in the SMS database.	0	-
Senegal	0	-	1	Signature not in the SMS database.

Seychelles	8	Page missing in CC. Two CC with same number. Expired license. Transshipment at port- date missing. Stamp missing on annex IV. Wrong reference to CC on annex IV. Annex IV with wrong weight.	6	Annex IV not stamped. Stamps different for the SMS database. Annex IV with wrong reference to vessel. Transport detail missing. Vessel list to CC missing. Weight in statistical document for SWO does not match CC.
Spain	2	Transshipment date missing. Stamp not visible on CC.	6	CC validity (Poor copy received). New format for reference number. Signature on CC not in SMS database. Exporters request before catch.
Sri Lanka	0	-	1	Validity of CC. Fishing area and the size of the fishing vessels.
Taiwan	0	-	1	Validity of CC.
UK	2	CC without reference number. Weight issues for CC.	1	CC with exporters request after validation.
Ukraine	2	Annex IV validity. Annex IV weight issues.	0	-

USA	10	Annex IV with wrong CC validation date reference. Validity of CC, annex IV and 14.1. that appears as invalid in the US database.	5	Validity of CC, annex IV and 14.1. that appears as invalid in the US database.
Vietnam	6	Signatures, stamps, and format of CC not in SMS database. HS code mistakes. Weight issues.	2	Date of landing before catch. Signature not in the SMS database.
Total	91		65	

7.2. How many requests for verification were not replied to by the other countries' authorities within the deadline provided in Article 17.6 of the IUU Regulation? Does your country in these situations send a reminder to the authorities of the country in question? [Please provide separate data for 2016 and 2017]

20166

2017.....7

DVFA sends reminders if answers are not received.

7.3. Was the quality of the answers provided overall sufficient to satisfy the request?

Yes

No

Section 8. Information on refusal of importations (Article 18 of the IUU Regulation)¹⁴

8.1. Has your country refused any imports from 1 January 2016 until 31 December 2017? *Note: please only consider refusals based on the IUU Regulation, not for other reasons e.g. Food Safety, Customs legislation, etc.*

Yes No

If yes, please provide details in the table below:

Reason for refusal of importation	2016		2017	
	Flag State	No.	Flag State	No.
Non-submission of a catch certificate for products to be imported.				
The products intended for importation are not the same as those mentioned in the catch certificate.				
The catch certificate is not validated by the notified public authority of the flag State				
The catch certificate does not indicate all the required information.				
The importer is not in a position to prove that the fishery products comply with the conditions of Article 14.1 or 2.				
A fishing vessel figuring on the catch certificate as vessel of origin of the catches is included in the Union IUU vessel list or in the IUU vessel lists referred to in Article 30.				
The catch certificate has been validated by the authorities of a flag State identified as a non-cooperating State in accordance with Article 31				
Further to the request for verification (Article 18.2)				

8.2. If the answer to 8.1 is yes, what measures were taken by your authorities towards the refused fishery products?

.....

¹⁴ Section to be filled-in by all Member States

8.3. In case of refusal of importation, did the operators contest the decision of the authorities of your country?

Yes No

If yes, please detail:

Section 9. Information on trade flows¹⁵

9.1. Did your country note a change¹⁶ of imports of fishery products since the last reporting exercise covering the period 2014-2015?

Yes No

If yes, please detail:

9.2. Please provide information, deriving from your country's statistical data, concerning change of trade patterns in imports of fishery products into your country:

.....N/A.....

Section 10. Information on mutual assistance¹⁷

10.1. Since the last reporting exercise covering the period 2014-2015, how many mutual assistance messages of the Commission has your country replied to?

Please provide separate data for 2016 and 2017 (if any)

2016.....3.....

2017.....7.....

10.2. Since the last reporting exercise covering the period 2014-2015, has your country sent any mutual assistance message to the Commission/other Member States?

Please provide separate data for 2016 and 2017 (if any)

2016.....0.....

2017.....0.....

¹⁵ Section to be filled-in by all Member States
¹⁶ For example: new kinds of fishery products, new trade patterns or significant and sudden increase in trade volume for a certain species and/or certain third countries.
¹⁷ Section to be filled-in by all Member States

Section 11. Information on cooperation with third countries¹⁸

11.1. Apart from verifications and refusals under Articles 17 and 18, has your country had information exchange with third countries on issues related to the implementation of the IUU Regulation, such as follow-up of cases concerning nationals, consignments, trade flows, operators, private fishing licencing, as well as the investigation of criminal activities and serious infringements (Article 42)?

Yes *No*

If yes, please detail (please provide separate data for 2016 and 2017, if any).

.....

Section 12. Information on nationals¹⁹

12.1. Since the last reporting exercise covering the period 2014-2015, has your country implemented or modified existing measures to ensure that your country can take appropriate action with regards to nationals involved in IUU fishing in accordance with Article 39 of the IUU Regulation?

Yes *No*

If yes, please detail:

12.2. What measures has your country taken to encourage nationals to notify any information on interests in third country vessels (Article 40.1)?

.....

12.3. Has your country endeavoured to obtain information on arrangements between nationals and third countries allowing reflagging of their vessels in accordance with Article 40.4?

Yes *No*

If yes, please detail:

12.4. If yes to any of the above, how many cases have your country dealt with and which administrative or penal follow-up was given?

Please provide details:

12.5. Has your country put in place procedures to ensure that nationals do not sell or export any fishing vessels to operators involved in the operation, management or ownership of fishing vessels included in the Union IUU vessel list (Article 40.2)?

Yes *No*

If yes, please provide details:

12.6. Has your country made use of Article 40.3 and removed public aid under national aid regimes or under Union funds to operators involved in the operation, management or ownership of fishing vessels included in the Union IUU vessel list?

Yes *No*

¹⁸ Section to be filled-in by all Member States

¹⁹ Section to be filled-in by all Member States

If yes, please detail:

Section 13. Infringements (Chapter IX of the IUU Regulation) and Sightings (Chapter X of the IUU Regulation)²⁰

13.1. Has your country detected serious infringements as defined in Article 42 of the IUU Regulation from 1 January 2016 until 31 December 2017?

Yes *No*

If yes, please detail separately for each year the number of serious infringements, nature and sanctions applied:

Flag State of the vessel or nationality of the operator (EU and non-EU)	Serious infringements detected in 2016:			Serious infringements detected in 2017:		
	Number	Nature	Sanctions applied	Number	Nature	Sanctions applied
<i>NOR</i>	1	Art. 3, b)	Yes	7	Art. 3 b)	Yes
<i>NOR</i>	1	Art. 3 h)	Yes	2	Art. 3 h)	Yes
<i>Total</i>	2			9		

13.2. Has your country applied or adapted its levels of administrative sanctions in accordance with Article 44?

Yes *No*

If yes, please detail:

13.3. Has your country issued sighting reports from 1 January 2016 until 31 December 2017?

Yes *No*

If yes, how many sighting reports were issued by your country from 1 January 2016 until 31 December 2017?

Flag State of the sighted vessel (EU and non-EU)	No of sighting reports issued in 2016	No of sighting reports issued in 2017
<i>Total</i>	0	0

²⁰ Section to be filled-in by all Member States

13.4. Since the last reporting exercise covering the period 2014-2015, has your country received any sighting reports for its own vessels from other competent authorities?

Yes *No*

If yes, please detail follow-up (in accordance with Article 50 of the IUU Regulation).

.....

Section 14. General

14.1. In the reporting period 2016/2017, what have been the main difficulties that your country has encountered in implementing the IUU Regulation, including the catch certification scheme?

Lack of a common EU IUU IT system.

14.2. Which improvements would your country suggest to the Regulation that would make implementation smoother?

Finalizing the IUU IT system.

Update of the Annex I to 1005/2008, list of products excluded from the definition of fishery products. Among others trade samples should be exempted.

Update of the handbook.

Prioritize the FAQ on the webpage.

Ensure that answers given to one member state are passed to all member states if relevant.

Denmark encourages the Commission to work for a more uniform implementation of the Regulation in the Member States. The still present differences in the level of control in the Member States could give rise to “control shopping” looking for the Member State with a weak set up for the IUU control. A Commission inspection team auditing the Member States would be an important tool.

.....

Section 15. Any other comments

We miss the meetings in Fisheries Control Experts Group Implementation of the IUU regulation. The cooperation between the Member States and discussions about the implementation of the IUU regulation are weakened, when we do not meet regularly.

The new model with technical political meetings does not replace these meetings.

DVFA would suggest that the IUU regulations are reviewed in near future, based on the experiences gained since 2010. It is imperative that the member states are involved in the whole process of such a review to include their practical experiences in implementing the legislation.