

QUESTIONNAIRE to be used for biennial reporting on the application of the IUU Regulation

Member State:	The Slovak Republic
Organisation:	The State Veterinary and Food Administration of the Slovak Republic
Date:	28.04.2016
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May the Commission provide a copy of this questionnaire to other Member States?	
Yes:	X
Yes except for questions (list):	
No:	

Section 1: Legal framework

Since the last reporting exercise in 2014, has your country modified national law or any administrative guides for the application of Council Regulation (EC) No 1005/2008 on illegal, unreported and unregulated fishing (IUU Regulation)?

NO - the Act No. 39/2007 Coll. on veterinary care as amended (in particular the Chapter III of the Act No. 101/2014 of 20 March 2014 amending the Act No. 178/1998 Coll. on requirements on selling of products and providing services on the market places and on amendment of the Act No. 455/1991 Coll. on trades as amended, and amending certain acts) remains the basic national legislation for implementation of the IUU Regulation

If yes, please detail and provide copies.

Section 2: Administrative Organisation

2.1 How has your country organised its services to deal with the implementation of the IUU Regulation (verification of catch certificates, validation of catch certificates for own vessels, etc.)?

a) internal co-operation (between local/regional Fisheries authorities and head-quarter);

The central competent authority in the field of organization of the common fisheries policy is the Ministry of Agriculture and Rural Development of the Slovak Republic (MARD). MARD delegated the State Veterinary and Food Administration of the Slovak Republic (SVFA) the implementation of the IUU Regulation. The execution of the official controls is done by the District Veterinary and Food Administrations (DVFA), the local administrative level of the SVFA structures.

The Slovak Republic is landlocked country without approved fishing vessels.

- b) co-operation with other authorities and allocation of tasks for various authorities in the implementation of the IUU Regulation (Health, Customs, Coast Guard, Navy, etc.);

The execution of the control is performed in cooperation with the Financial Administration of the Slovak Republic (FA SR) within Ministry of Finance of the Slovak Republic. The agreement on cooperation has been signed between the SVFA and the FA SR in which the conditions of cooperation are agreed also in this activity.

The trainings for subordinated organizations and meetings between authorities for the purpose of exchange of information, preparation of further trainings and strategy are organized in cooperation with the FA SR.

- c) how many persons are involved in the implementation of the catch certificate?

For the management and implementation of the IUU Regulation 2 veterinary inspectors are partially responsible (about 30% and 20% of the tasks respectively). The execution of the control is ensured by 40 official veterinarians (less than 10% of their tasks) at the DVFAs that cover the whole territory of the Slovak Republic and 3 official veterinarians at the Border Inspection Posts.

If different, please distinguish between direct landings of 3rd country fishing vessels and other imports (processed products)

- 2.2 Do the authorities of your country have the possibility to audit/verify a company for the purposes laid down in the IUU Regulation? If yes, which audits/verifications have they undertaken since the last reporting exercise in 2014? Please detail.

SVFA in accordance with Article 6 (2)(ad) of the Act No. 39/2007 Coll. on veterinary care as amended has powers to carry out audit or inspection of controlled bodies and to order the corrective measures

DVFA in accordance with Article 8 (3)(a) of the Act No. 39/2007 Coll. on veterinary care as amended has powers to carry out official controls by the official veterinarians on live animals, hatching eggs, germinal products, animal by-products and on products of animal origin on each level of holdings, processing and distribution

- 2.3 Does your country have freezones/freeports in which activities relevant to importation/exportation/processing of fishery products are authorised?

The Slovak Republic has no approved free zones/free ports for fishery products where temporary import or processing could be realized.

Section 3: Direct landings of third country fishing vessels (only applicable if designated ports)

Please list your country's designated ports.

The Slovak Republic has no designated ports.

3.1 How many landings and transshipments of third country vessels have been recorded by your country between 1 January 2014 until 31 December 2015?

Port name*	No. of landings	Comments	No. of transshipments	Comments
Total		--		--

** If the port is designated also for an RFMO, please indicate which RFMO in brackets.*

Not applicable

3.2 Approximately, what percentage of the third country fishing vessel landings arrives in transit in your country?

Not applicable

3.3 Has your country had any problems with third country fishing vessels when implementing Articles 6 (prior notice) and 7 (authorisation) of the IUU Regulation?

Not applicable

If yes, please detail:

- a) in which ports;
- b) the nature of problem;
- c) vessel details (name, flag, master, etc.).

3.4 Since January 2014, has your country refused access to its port services to a fishing vessel for activities of landing or transshipment of fishery products? Was this refusal based on the conditions of the IUU Regulation?

Not applicable

If yes, please detail:

- a) in which ports;
- b) the nature of problem;
- c) vessel details (name, flag, master, etc.).

3.5 Do third country fishing vessels accessing your country's ports use the templates for prior notifications and pre-landing/pre-transshipment provided by the Implementing Regulation 1010/2009 or those used in RFMOs? Please detail, when RFMO forms are used.

Not applicable

Section 4: Port inspections in accordance with Section 2 of the IUU Regulation

4.1 Between 1 January 2014 and 31 December 2015, how many fishing vessels of third countries had access to the designated ports for landing or transshipment of fishery products?

Not applicable

4.2 How many fishing vessels were inspected between 1 January 2014 and 31 December 2015?

Not applicable

Reason for inspection :	Flag State
Sighted at sea in activities that may be considered illegal, unreported and unregulated	
Based on the EU IUU vessel list	
Other (please detail)	

4.3 In accordance with Article 9.1, has your country carried out inspections in its designated ports of at least 5% of landing and transshipment operations by third country fishing vessels each year?

Not applicable

If yes, please detail.

If no, please explain.

4.4 Does your country use risk assessment criteria for the port inspections?

Not applicable

If yes, please detail.

4.5 Has your country detected any infringements between 1 January 2014 and 31 December 2015?

Not applicable

If yes,

- how many and of what nature? Please specify.
- did your country apply the procedure in case of infringements as foreseen in Article 11?

Section 5: Catch certification scheme for importation for the purpose of the IUU Regulation¹

Please state your country's notified authorities under Articles 17.8 and 21.3.

**The State Veterinary and Food Administration of the Slovak Republic
The District Veterinary and Food Administrations**

5.1 How many catch certificates were presented to the authorities of your country from 1 January 2014 until 31 December 2015?

If possible, please provide details per flag State (FS).

Flag State \ Year	2014	2015
AR	7	6
CL	1	0
CN	9	0
EC	1	12
ES	15	13
FR	7	0
ID	16	0
KR	10	29
MA	6	28
NI	0	1
NO	0	1
PA	0	2
PE	1	0
PH	0	7
PK	3	0
RU	12	7
SV	4	1
TH	15	4

¹ Article 2.11 of the IUU Regulation – "importation means the introduction of fishery products into the territory of the Union, including for transshipment purposes at ports in its territory"

Flag State \ Year	2014	2015
TW	17	11
UK	0	1
US	8	14
VN	0	6
Total	132	143

5.2 From the number above, how many recognised RFMO catch certificates accompanied imports into your country? Please detail per type of RFMO certificate and year.

RFMO \ Year	2014	2015
ICCAT BFT	0	0
Dissostichus spp. (CCAMLR)	0	0
CCSBT CDS	0	0
Total	0	0

5.3 How many processing statements under Article 14.2 accompanied imports into your country?

If possible, please provide details per year and per processing country.

Processing State \ Year	2014	2015
CN	4	5
EC	0	5
ID	3	0
KR	2	2
PH	0	10
TH	60	51
TK	1	0
VN	1	0
Total	71	73

5.4 Please explain if the information in processing statements referring to the corresponding catch certificates is retained and recorded.

5.5 How many requests to authorise APEO²s has your country received and how many APEOs have been authorised?

0

5.6 Please explain briefly the administrative rules referring to the management and control of APEO.

Non applicable

5.7 How many re-export certificates were validated by your country for imported products from 1 January 2014 until 31 December 2015? Please detail per year and, if possible, per destination country.

0

5.8 Does your country monitor if the catches for which your country has validated a re-export certificate actually leave the EU?

Yes, but not applied during the monitoring period

5.9 Has your country established any IT tools to monitor the catch certificates and processing statements accompanying imports? Does it include a module for re-exportation of imported catches?

Yes, currently under reconstruction

If yes, please detail.

5.10 Does your country implement the provisions regarding transit under Article 19.2 at the point of entry or the place of destination?

Yes, border crossing points Bratislava-airport, Vyšné Nemecké-road and Čierna nad Tisou-railway are involved

Section 6: Catch certification scheme for exportation

Please state your notified authorities under Article 15.2.

Please see List of Member States and their competent authorities concerning Articles 15(2), 17(8) and 21(3) of Council Regulation (EC) No 1005/2008 (2016/C 80/04)

Not applicable. No fishing vessel is flying the flag of the Slovak Republic

6.1 Has your country established a procedure for validation of catch certificates for exportation of catches from own vessels?

² Approved Economic Operators – IUU Regulation, Article 16 and Implementing Regulation (EC) 1010/2009, Chapter II

Not applicable

If yes, please explain briefly the established procedure and answer questions 6.2 to 6.5.

6.2 How many catch certificates did your country validate from 1 January 2014 to 31 December 2015? If possible, please provide details per requesting country/country of destination in the following table.

Destination State	IUU Regulation (Art. 14.2 / Art. 15)	Year	
		2014	2015
Third Country 1			
Third Country 2			
Third Country 3			
Third Country x			
Total	--		

Not applicable

6.3 Has your country established any IT tool to monitor the catch certificates validated for exports stemming from own vessels?

Not applicable

If yes, please detail.

6.4 Does your country monitor that the catches for which your country has validated Catch Certificates actually leave the EU?

Not applicable

If yes, please detail.

6.5 Has your country refused the validation of a catch certificate between 1 January 2014 and 31 December 2015?

7 **Not applicable**

If yes, please detail.

Section 7: Verifications of catch certificates for importation

7.1 Has your country established a procedure for verification of catch certificates for importation?

YES

If yes, please detail.



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7.2 How many catch certificates have been verified from 1 January 2014 until 31 December 2015?

132

7.3 Does your country use a risk assessment approach for verification of catch certificates?

No 100% frequency applies

If yes, please detail.

7.4 Does your country also physically verify the consignments?

Yes, random. Usually in connection with other tasks, e.g. public health controls...

If yes, please detail (reason, method of selection, number, etc.).

Section 8: Verification requests to flag States

8.1 How many requests for verifications have been sent to third country authorities?

0

What were the main reasons for these requests? Please specify by using the reasons provided in Articles 17.4 and 17.6 of the IUU Regulation.

8.2 How many requests for verification were not replied to by the third country authorities within the deadline provided in Article 17.6 of the IUU Regulation? Does your country in these situations send a reminder to the third country authorities?

Non applicable

8.3 Was the quality of the answers provided overall sufficient to satisfy the request?

Non applicable

Section 9: Refusal of Importations

9.1 Has your country refused any imports from 1 January 2014 until 31 December 2015?

0

If yes, please provide details in the table below:

Reason for refusal of importation	2014		2015	
	Flag State	No.	Flag State	No.

Reason for refusal of importation	2014		2015	
	Flag State	No.	Flag State	No.
Non-submission of a catch certificate for products to be imported.				
The products intended for importation are not the same as those mentioned in the catch certificate.				
The catch certificate is not validated by the notified public authority of the flag State				
The catch certificate does not indicate all the required information.				
The importer is not in a position to prove that the fishery products comply with the conditions of Article 14.1 or 2.				
A fishing vessel figuring on the catch certificate as vessel of origin of the catches is included in the Community IUU vessel list or in the IUU vessel lists referred to in Article 30.				
Further to the request for verification (Article 18.2)				

9.2 If the answer to 9.1 is yes, what did your country do with the fishery products?

Non applicable

9.3 In case of refusal of importation, did the operators contest the decision of the authorities of your country?

Non applicable

If yes, please detail.

Section 10: Trade flows

Did your country note a change of imports of fishery products since the last reporting exercise in 2014? Please provide information, deriving from your country's statistical data, concerning change of trade patterns in imports of fishery products into your country.

No significant changes identified

Section 11: Mutual Assistance

11.1 How many mutual assistance messages of the Commission has your country replied to?

Not recorded

11.2 Has your country sent any mutual assistance message to the Commission/other Member States?

Yes

If yes, please detail.

Not recorded

Section 12: Cooperation with third countries

Apart from verifications and refusals under Articles 17 and 18, has your country had information exchange with third countries on issues related to the implementation of the IUU Regulation, such as follow-up of cases concerning nationals, consignments, trade flows, operators, private fishing licencing, as well as the investigation of criminal activities and serious infringements (Article 42).

NO

If yes, please detail.

Section 13: Nationals

Please state your country's notified authorities under Article 39.4.

13.1 Since the last reporting exercise in 2014, has your country implemented or modified existing measures to ensure that your country can take appropriate action with regards to nationals involved in IUU fishing?

NO

13.2 What measures has your country taken to encourage nationals to notify any information on interests in third country vessels (Article 40.1).

NONE

13.3 Has your country endeavoured to obtain information on arrangements between nationals and third countries allowing reflagging of their vessels? If yes, please list vessels.

NO

13.4 If yes to any of the above, how many cases has your country dealt with and which administrative or penal follow-up was given?

Non applicable

13.5 Has your country made use of Article 40.3 and removed public aid under national aid regimes or under Union funds to operators involved in the operation, management or ownership of fishing vessels included in the Union IUU vessel list? If yes, please detail.

NO

Section 14: Infringements (Chapter IX of the IUU Regulation) and Sightings (Chapter X of the IUU Regulation)

14.1 How many infringements did your country record from 1 January 2014 until 31 December 2015? Please detail.

NO

14.2 Has your country applied or adapted its levels of administrative sanctions in accordance with Article 44?

NO

14.3 How many sighting reports were issued by your country from 1 January 2014 until 31 December 2015?

Non applicable

Please detail.

14.4 Has your country received any sighting reports for its own vessels from other competent authorities?

Non applicable

If yes, please detail.

Section 15: General

15.1 What have been the main difficulties that your country has encountered in implementing the catch certification scheme?

Nothing in particular

15.2 What improvements would your country suggest to the Regulation that would make implementation smoother?

Recording of catch certificates on EU level with possibility to cross-check the CC, in particular to cross-check the declared and imported amount of fish.

Section 16: Any other comments

Thank you for your cooperation!