


**QUESTIONNAIRE to be used for biennial reporting
on the application of the IUU Regulation**

Reporting period 2018-2019 (deadline for submission 30 April 2020)

Member State:	The Slovak Republic
Organisation:	The State Veterinary and Food Administration of the Slovak Republic
Date:	22.6.2020
Name, position and contact details of responsible official:	

May the Commission provide a copy of this questionnaire to other Member States?	
Yes:	X
Yes except for questions (list):	
No:	

Please check if your notified authorities under the IUU Regulation correspond with the latest version of the Official Journal:

[https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:52019XC0215\(01\)](https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:52019XC0215(01))

Article 15.2 (exportation of catches):
Not applicable. No fishing vessel is flying the flag of the Slovak Republic

Article 17.8 (verification of catch certificates):
The State Veterinary and Food Administration of the Slovak Republic
The District Veterinary and Food Administrations

Article 21.3 (re-exportation):
The State Veterinary and Food Administration of the Slovak Republic
The District Veterinary and Food Administrations

Please state your notified authorities under the IUU Regulation in accordance with:

Article 39.4 (nationals):

The State Veterinary and Food Administration of the Slovak Republic

Section 1. Information on legal framework¹

Since the last reporting exercise covering the period 2016-2017, has your country adopted/modified national law or any administrative guides for the application of Council Regulation (EC) No 1005/2008 on illegal, unreported and unregulated fishing (IUU Regulation)?

Yes No

If yes, please detail and provide copies or provide link to the official national database

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Section 2. Information on administrative organisation²

2.1. Are there any changes as regards your administrative organisation since the last reporting exercise?

Yes No

2.2. *If yes, please fill in the following questions.* Does your country have different authorities/services to deal with the implementation of the IUU Regulation?

Yes No

2.3. If different authorities/services are involved, please distinguish between:

- the control of direct landings of third country fishing vessels;
- validation of catch certificates upon exports;
- verification of catch certificates for imports under direct landing;
- verification of catch certificates for imports arriving by other means than fishing vessels (e.g. by containers, trucks);
- validation and verifications of re-exports.

a) vertical co-operation (between local/regional authorities and head-quarter);

Please explain and describe this cooperation:

The central competent authority in the field of organization of the common fisheries policy is the Ministry of Agriculture and Rural Development of the Slovak Republic (MARD). MARD delegated the State Veterinary and Food Administration of the Slovak Republic (SVFA) the implementation of the IUU Regulation. The execution of the official controls is done by the District Veterinary and Food Administrations (DVFA), the local administrative level of the SVFA structures.

The Slovak Republic is landlocked country without approved fishing vessels.

b) co-operation with other authorities and allocation of tasks for various authorities in the implementation of the IUU Regulation (Fisheries, Health, Customs, Coast Guard, Navy, etc.);

Please explain and describe this cooperation:

The execution of the control is performed in cooperation with the Financial Administration of the Slovak Republic (FA SR) within Ministry of Finance of the

¹ This section 1 is to be filled-in by all Member States i.e. coastal and landlocked Member State.

² This section 2 is to be filled-in by all Member States i.e. coastal and landlocked Member State.

Slovak Republic. The agreement on cooperation has been signed between the SVFA and the FA SR in which the conditions of cooperation are agreed also in this activity.

The trainings for subordinated organizations and meetings between authorities for the purpose of exchange of information, preparation of further trainings and strategy are organized in cooperation with the FA SR.

- c) how many officials are involved in the implementation of the catch certification scheme?

Please specify the number of officials expressed in Full Time Equivalent (FTE):
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For the management and implementation of the IUU Regulation 1 veterinary inspectors is partially responsible. The execution of the control is ensured by 40 official veterinarians at the DVFAs that cover the whole territory of the Slovak Republic and 3 official veterinarians at the Border Inspection Posts

- d) Do the authorities of your country have the possibility to audit/verify a company for the purposes laid down in the IUU Regulation?

Yes No

If yes, which and how many audits/verifications have they undertaken since the last reporting exercise covering the period 2016-2017? Which authorities are responsible for audits/verifications? Please detail the results:

SVFA in accordance with Article 6 (2)(ad) of the Act No. 39/2007 Coll. on veterinary care as amended has powers to carry out audit or inspection of controlled bodies and to order the corrective measures

DVFA in accordance with Article 8 (3)(a) of the Act No. 39/2007 Coll. on veterinary care as amended has powers to carry out official controls by the official veterinarians on live animals, hatching eggs, germinal products, animal by-products and on products of animal origin on each level of holdings, processing and distribution

Since the last reporting period no audit was performed.

- 2.4. Does your country have freezones/freeports³ in which activities relevant to importation/exportation/processing of fishery products are authorised?

Yes No

Section 3. Information on direct landings and transhipments of fishery products by third country fishing vessels⁴ (including information on port inspections and infringements)⁵

- 3.1. Please check if your list of designated ports correspond to the latest version of the Office Journal.

https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.C_.2019.276.01.0011.01.ENG

³ https://ec.europa.eu/taxation_customs/business/customs-procedures/what-is-importation/free-zones_en

⁴ Fishing vessels as defined in article 2.5 of the IUU Regulation

⁵ This section 3 refers to Chapter II (Articles 4 to 11) of the IUU Regulation and is applicable to coastal Member States. Landlocked Member States should not fill in this section.

3.2. Does your country have designated ports for direct landings or transshipment operations of fishery products and port services of third country fishing vessels (Article 5 of the IUU Regulation⁶)?

Yes

No

If yes, please list your country's designated ports (including ports designated under Regional Fisheries Management Organisations requirements) and answer to questions 3.2. to 3.7.:

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3.3. How many landings and transshipments in designated ports of third country vessels have been recorded by your country between 1 January 2018 until 31 December 2019? How many inspections has your country carried out and how many infringements have been detected?

Please fill-in the table below (2018):

Inspections of third country vessels in Member States ports (2018)								
Type of operation	Vessels	Figures (2018)	Flag of the third country vessel(s) ⁷					Total
			Ex. NO	FS1	FS2	FS3	FSx	
Landings	Non-EU vessels using MS designated ports	Number of landings	100					
		Number of inspections	10					
		% of inspections / landings	10%					
		Number of infringements	3					
Transshipments	Non-EU vessels using MS designated ports	Number of transshipments in ports	2					
		Number of inspections	0					
		% of inspections / transshipments	0					
		Number of infringements	0					

⁶ Please note that ports designated under Regional Fisheries Management Organisations must also be designated under the IUU Regulation with restrictions if necessary (species etc.)

⁷ ISO Alpha-2 country codes.

Please fill-in the table below (2019):

Inspections of third country vessels in Member States ports (2019)								
Type of operation	Vessels	Figures (2019)	Flag of the third country vessel(s) ⁸					Total
			Ex. NO	FS1	FS2	FS3	FSx	
Landings	Non-EU vessels using MS designated ports	Number of landings	100					
		Number of inspections	10					
		% of inspections / landings	10%					
		Number of infringements	3					
Transhipments	Non-EU vessels using MS designated ports	Number of transhipments in ports	2					
		Number of inspections	2					
		% of inspections / transhipments	100%					
		Number of infringements	0					

3.4. From the figures above, in the cases where your country detected infringements by third country vessels between 1 January 2018 and 31 December 2019, please specify the flag, the vessel's name, the type of infringement and the measures taken (Article 11 of the IUU Regulation).

Please fill-in the table below (2018):

Flag of the third country vessel ⁹	Name of the third country fishing vessel	Type of infringements	Measures taken
FS1			
FS2			
...			
FSx			

Please fill-in the table below (2019):

Flag of the third country vessel ¹⁰	Name of the third country fishing vessel	Type of infringements	Measures taken
FS1			

⁸ ISO Alpha-2 country codes.

⁹ ISO Alpha-2 country codes.

¹⁰ ISO Alpha-2 country codes.

FS2			
...			
FSx			

3.5. Has your country had any problems with third country fishing vessels when implementing Articles 6 (prior notice) and 7 (authorisation) of the IUU Regulation?

Yes No

If yes, please detail the nature of the problems and the measures taken:

In 2018:

In 2019:

3.6. Since January 2018, has your country denied access to its ports to a fishing vessel for port services, activities of landing or transhipment of fishery products based on the conditions of the IUU Regulation?

Yes No

If yes, please detail the nature of the problem, the number of vessels concerned and their flags:

In 2018:

In 2019:

3.7. Do you have third country fishing vessel landings in transit in your country with final destination in another Member State? [Article 19.3 of the IUU Regulation]

Yes No

If yes, please indicate the number of landings in transit per year:

In 2018:

In 2019:

3.8. In order to determine the cases for port inspection, does your country use risk assessment criteria [cf. benchmarks for port inspections, Article 4 of Regulation (EC) No1010/2009]?

Yes No

Not applicable (e.g. in the absence of landings/transhipments from third countries)

If yes, please detail:

Section 4. Information on catch certification scheme for importation for the purpose of the IUU Regulation¹¹

4.1. How many catch certificates from non-EU countries were presented to the authorities of your country from 1 January 2018 until 31 December 2019? Please complete the following table by flag state validating the catch certificates, including in cases catch certificates are accompanied by processing statements.¹²

Flag State (non-EU) ¹³	2018	2019
TH	141	89
EC	40	38
PH	12	27
ID	21	27
MA	19	21
US	11	17
RU	13	14
NO	-	9
CN	3	5
AR	5	5
SV	9	2
VN	24	-
Total	298	254

4.2. From the number above, how many recognised RFMO catch certificates accompanied imports into your country? Please detail per RFMO certificate and year.

RFMO document	2018	2019
ICCAT (electronic)-bluefin tuna catch document	0	0
Dissostichus spp. (CCAMLR)	0	0
CCSBT CDS	0	0
Total	0	0

4.3. How many catch certificates from EU Member States were presented to the authorities of your country from 1 January 2018 until 31 December 2019?

Flag State (EU)	2018	2019
FS 1		
FS 2		

¹¹ Section to be filled-in by all Member States. Article 2.11 of the IUU Regulation – "importation means the introduction of fishery products into the territory of the Union, including for transshipment purposes at ports in its territory"

¹² If catch certificates are submitted only for transshipment purposes, please specify.

¹³ ISO Alpha-2 country codes.

Flag State (EU)	2018	2019
...		
<i>FS x</i>		
Total	0	0

4.4. From the number above, how many recognised RFMO catch certificates from EU Member States accompanied imports into your country? *Please detail per RFMO certificate and year.*

RFMO document	2018	2019
<i>ICCAT (electronic)-bluefin tuna catch document</i>	0	0
<i>Dissostichus spp. (CCAMLR)</i>	0	0
<i>CCSBT CDS</i>	0	0
Total	0	0

4.5. Has your country received processing statements from 1 January 2018 until 31 December 2019?

Yes *No*

If yes, how many processing statements under Article 14.2 accompanied imports into your country? If possible, please provide details per year and per processing country.

Processing non-EU State ¹⁴	2018	2019
<i>EC</i>	17	9
<i>TH</i>	120	78
Total	137	87

4.6. Please indicate if the information in processing statements referring to the corresponding catch certificates is retained and recorded:

Yes *No*

Not applicable (e.g. in the absence of processing statements received from non-EU countries in 2018-2019)

4.7. Has your country received requests to authorise APEO¹⁵s in 2018-2019?

Yes *No*

If yes, how many requests has your country received and how many APEOs have been authorised?

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¹⁴ ISO Alpha-2 country codes.

¹⁵ Approved Economic Operators – IUU Regulation, Article 16 and Implementing Regulation (EC) 1010/2009, Chapter II

4.8. Has your country adopted administrative rules referring to the management and control of APEO in 2018-2019?

- Yes*
 No
 Not applicable (e.g. absence of APEO request)

If yes, please detail:

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4.9. Has your country validated re-export certificates for products imported from 1 January 2018 until 31 December 2019?

- Yes*
 No

If yes, how many re-export certificates? Please detail per year and, if possible, per destination country:

Destination country (non-EU) ¹⁶	2018	2019
<i>Third Country 1</i>		
<i>Third Country 2</i>		
...		
<i>Third Country x</i>		
Total		

4.10. Does your country monitor if the catches for which your country has validated a re-export certificate actually leave the EU?

- Yes*
 No
 Not applicable (e.g. in the absence of validation of re-export certificates in 2018-2019)

If yes, please detail:

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4.11. Has your country established any IT tools to monitor the catch certificates and processing statements accompanying imports?

- Yes*
 No

If yes, does it include a module for re-exportation of imported catches?

- Yes*
 No

4.12. Does your country implement the provisions regarding transit under Article 19.2 at the point of entry or the place of destination?

¹⁶ ISO Alpha-2 country codes.

At the point of entry

At the place of destination

Not implemented

Section 5. Information on catch certification scheme for exportation¹⁷

5.1. Has your country established a procedure for validation of catch certificates for exportation of catches from own vessels in accordance with Article 15?

Yes No

Not applicable (e.g. in the absence of validation of catch certificates for exportation in 2018-2019)

If yes, please explain briefly the established procedure and answer questions 5.2 to 5.5.

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5.2. Has your country validated catch certificates for exportation in 2018-2019 in accordance with Article 15?

Yes No

If yes, how many catch certificates did your country validate from 1 January 2018 to 31 December 2019? If possible, please provide details per requesting third country/country of destination in the following table:

Destination State ¹⁸	Year	
	2018	2019
<i>Third Country 1</i>		
<i>Third Country 2</i>		
...		
<i>Third Country x</i>		
Total		

5.3. Has your country established any IT tool to monitor the catch certificates validated for exports stemming from own vessels?

Yes No

5.4. Does your country monitor that the catches for which your country has validated catch certificates actually leave the EU?

Yes No

Not applicable (e.g. in the absence of validation of catch certificates for exportation in 2018-2019)

5.5. Has your country refused the validation of a catch certificate between 1 January 2018 and 31 December 2019?

Yes No

¹⁷ Section to be filled-in by flag Member States.

¹⁸ ISO Alpha-2 country codes.

Not applicable (e.g. in the absence of request for validation of catch certificates for exportation in 2018-2019)

If yes, please detail:

Number (per year):

Reason:

Follow-up:

Section 6. Information on verifications of catch certificates for importation according to Article 17.1 to 5 of IUU Regulation¹⁹

6.1. Has your country established a procedure for verification of catch certificates for importation in accordance with Article 17.2?

Yes *No*

If yes, please detail: **Procedure is established in the Instruction of the SVFA SR No. 600/10 – 240.**

6.2. How many catch certificates have been verified by your administration from 1 January 2018 until 31 December 2019? Please specify, separately for each year:

Flag State of origin (EU or non-EU) ²⁰	Number of verifications		Number of verifications	
	2018		2019	
	No of basic document-based verifications ²¹	No of in-depth verifications ²²	No of basic document-based verifications	No of in-depth verifications
TH		141		89
EC		40		38
PH		12		27
ID		21		27
MA		19		21
US		11		17
RU		13		14
NO		-		9
CN		3		5

¹⁹ Section to be filled-in by all Member States

²⁰ ISO Alpha-2 country codes.

²¹ See fields CC1 to CC6 (*Preliminary overview checks "helicopter view"*) of the EFCA Common methodology for IUU catch certificates verification and cross-checks.

²² See fields CC7 to CC32 (*Verify and cross-check information related to the form, flag state, validating authority, fishing vessel, product(s), transshipment operations*) of the EFCA Common methodology for IUU catch certificates verification and cross-checks.

Flag State of origin (EU or non-EU) ²⁰	Number of verifications 2018		Number of verifications 2019	
	No of basic document-based verifications ²¹	No of in-depth verifications ²²	No of basic document-based verifications	No of in-depth verifications
AR		5		5
SV		9		2
VN		24		-
Total		298		254

6.3. Does your country use a risk assessment approach for verification of catch certificates in accordance with Article 17?

Yes No

If yes, please detail (e.g. EU criteria for verifications (Article 31 of Commission Regulation 1010/2009); EFCA risk assessment methodology; national criteria).

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6.4. Does your country also physically verify the consignments?

Yes No

If yes, please detail:

Number (per year): In 2018 – 3 and in 2019 – 2 physically verified consignment

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Method of selection: random. Usually in connection with other tasks, e.g. Food Safety controls.

Follow-up:

Section 7. Verification requests to flag States²³

7.1. Has your country sent requests for verifications under Article 17.6 of the IUU Regulation to other countries authorities in 2018-2019?

Yes No

If yes, how many requests for verifications? *Note: please provide separate data for 2018 and 2019:*

²³ Section to be filled-in by all Member States

Flag States ²⁴	No of requests for verifications 2018	Justifications (Articles 17.4 and 17.6 of the IUU Regulation)-	No of requests for verifications 2019	Justifications (Articles 17.4 and 17.6 of the IUU Regulation)
<i>Country 1</i>				
<i>Country 2</i>				
...				
<i>Country x</i>				
Total				

7.2. How many requests for verification were not replied to by the other countries' authorities within the deadline provided in Article 17.6 of the IUU Regulation? Does your country in these situations send a reminder to the authorities of the country in question? Could you please specify when the request, despite reminder(s), remained unanswered? What measures have you taken in that case (i.e. denial of importation) [Please provide separate data for 2018 and 2019]

2018

2019

7.3. Was the quality of the answers provided overall sufficient to satisfy the request?

Yes

No

7.4. Has your country been using IT systems developed by third countries allowing for a full or partly verification of catch certificates and how many verifications were made through these systems (approximately)?

²⁴ ISO Alpha-2 country codes.

Section 8. Information on refusal of importations (Article 18 of the IUU Regulation)²⁵

8.1. Has your country refused any imports from 1 January 2018 until 31 December 2019? *Note: please only consider refusals based on the IUU Regulation, not for other reasons e.g. Food Safety, Customs legislation, etc.*

Yes No

If yes, please provide details in the table below:

Reason for refusal of importation	2018		2019	
	Flag State ²⁶	No.	Flag State ²⁷	No.
Non-submission of a catch certificate for products to be imported.				
The products intended for importation are not the same as those mentioned in the catch certificate.				
The catch certificate is not validated by the notified public authority of the flag State				
The catch certificate does not indicate all the required information.				
The importer is not in a position to prove that the fishery products comply with the conditions of Article 14.1 or 2.				
A fishing vessel figuring on the catch certificate as vessel of origin of the catches is included in the Union IUU vessel list or in the IUU vessel lists referred to in Article 30.				
The catch certificate has been validated by the authorities of a flag State identified as a non-cooperating State in accordance with Article 31				
Further to the request for verification (Article 18.2)				

8.2. If the answer to 8.1 is yes, what measures were taken by your authorities towards the refused fishery products?

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²⁵ Section to be filled-in by all Member States

²⁶ ISO Alpha-2 country codes.

²⁷ ISO Alpha-2 country codes.

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8.3. In case of refusal of importation, did the operators contest the decision of the authorities of your country?

Yes No

If yes, please detail:

Section 9. Information on trade flows²⁸

9.1. Did your country note a change²⁹ of imports of fishery products since the last reporting exercise covering the period 2016-2017?

Yes No

If yes, please detail:

9.2. Please provide information, deriving from your country's statistical data, concerning change of trade patterns in imports of fishery products into your country:

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Section 10. Information on mutual assistance³⁰

10.1. Since the last reporting exercise covering the period 2016-2017, how many mutual assistance messages of the Commission has your country replied to?

Please provide separate data for 2018 and 2019 (if any)

2018.....Not recorded....

2019.....Not recorded...

10.2. Since the last reporting exercise covering the period 2016-2017, has your country sent any mutual assistance message to the Commission/other Member States?

Please provide separate data for 2018 and 2019 (if any)

2018 No

2019 No

²⁸ Section to be filled-in by all Member States

²⁹ For example: new kinds of fishery products, new trade patterns or significant and sudden increase in trade volume for a certain species and/or certain third countries.

³⁰ Section to be filled-in by all Member States

Section 11. Information on cooperation with third countries³¹

11.1. Apart from verifications and refusals under Articles 17 and 18, has your country had information exchange with third countries on issues related to the implementation of the IUU Regulation, such as follow-up of cases concerning nationals, consignments, trade flows, operators, private fishing licencing, as well as the investigation of criminal activities and serious infringements (Article 42)?

Yes *No*

If yes, please detail (please provide separate data for 2018 and 2019, if any).

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Section 12. Information on nationals³²

12.1. Since the last reporting exercise covering the period 2016-2017, has your country implemented or modified existing measures to ensure that your country can take appropriate action with regards to nationals involved in IUU fishing in accordance with Article 39 of the IUU Regulation?

Yes *No*

If yes, please detail:

12.2. What measures has your country taken to encourage nationals to notify any information on interests in third country vessels (Article 40.1)?

None

12.3. Has your country endeavoured to obtain information on arrangements between nationals and third countries allowing reflagging of their vessels in accordance with Article 40.4?

Yes *No*

If yes, please detail:

12.4. If yes to any of the above, how many cases have your country dealt with and which administrative or penal follow-up was given?

Please provide details:

12.5. Has your country put in place procedures to ensure that nationals do not sell or export any fishing vessels to operators involved in the operation, management or ownership of fishing vessels included in the Union IUU vessel list (Article 40.2)?

Yes *No*

If yes, please provide details:

12.6. Has your country made use of Article 40.3 and removed public aid under national aid regimes or under Union funds to operators involved in the operation, management or ownership of fishing vessels included in the Union IUU vessel list?

Yes *No*

³¹ Section to be filled-in by all Member States

³² Section to be filled-in by all Member States

If yes, please detail:

Section 13. Infringements (Chapter IX of the IUU Regulation) and Sightings (Chapter X of the IUU Regulation)³³

13.1. Has your country detected serious infringements as defined in Article 42 of the IUU Regulation from 1 January 2018 until 31 December 2019?

Yes No

If yes, please detail separately for each year the number of serious infringements, nature and sanctions applied:

Flag State of the vessel or nationality of the operator (EU and non-EU) ³⁴	Serious infringements detected in 2018:			Serious infringements detected in 2019:		
	Number	Nature	Sanctions applied	Number	Nature	Sanctions applied
<i>Country 1</i>						
<i>Country 2</i>						
...						
<i>Country x</i>						
<i>Total</i>						

13.2. Has your country applied or adapted its levels of administrative sanctions in accordance with Article 44?

Yes No

If yes, please detail: **SVFA and DVFA in accordance with Article 50 of the Act No. 39/2007 Coll. on veterinary care as amended have power to take sanctions in case of infringement.**

13.3. Has your country issued sighting reports from 1 January 2018 until 31 December 2019?

Yes No

If yes, how many sighting reports were issued by your country from 1 January 2018 until 31 December 2019?

Flag State of the sighted vessel (EU and non-EU) ³⁵	No of sighting reports issued in 2018	No of sighting reports issued in 2019

³³ Section to be filled-in by all Member States

³⁴ ISO Alpha-2 country codes.

³⁵ ISO Alpha-2 country codes.

Flag State of the sighted vessel (EU and non-EU) ³⁵	No of sighting reports issued in 2018	No of sighting reports issued in 2019
<i>Country 1</i>		
<i>Country 2</i>		
...		
<i>Country x</i>		
<i>Total</i>		

13.4. Since the last reporting exercise covering the period 2016-2017, has your country received any sighting reports for its own vessels from other competent authorities?

Yes No

If yes, please detail follow-up (in accordance with Article 50 of the IUU Regulation).

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Section 14. General

14.1. In the reporting period 2018-2019, what have been the main difficulties that your country has encountered in implementing the IUU Regulation, including the catch certification scheme?

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14.2. Which improvements would your country suggest to the Regulation that would make implementation smoother?

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Section 15. Any other comments

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