

**QUESTIONNAIRE to be used for biennial reporting
on the application of the IUU Regulation**

Reporting period 2018-2019 (deadline for submission 30 April 2020)

| | |
|--|--|
| Member State: | Slovenia |
| Organisation: | Financial Administration of the Republic of Slovenia Ministry of Agriculture, Forestry and Food |
| Date: | |
| Name, position and contact details of responsible official: | [Redacted] |

| | |
|--|-----|
| May the Commission provide a copy of this questionnaire to other Member States? | |
| Yes: | Yes |
| Yes except for questions (list): | |
| No: | |

Please check if your notified authorities under the IUU Regulation correspond with the latest version of the Official Journal:

[https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:52019XC0215\(01\)](https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:52019XC0215(01))

Article 15.2 (exportation of catches):

Article 17.8 (verification of catch certificates):

Article 21.3 (re-exportation):

Please state your notified authorities under the IUU Regulation in accordance with:

Article 39.4 (nationals):

- Financial Administration of RS;
- Ministry of Agriculture, Forestry and Food;
- Inspectorate of RS for Agriculture, Forestry, Hunting and Fisheries

Section 1. Information on legal framework¹

Since the last reporting exercise covering the period 2016-2017, has your country adopted/modified national law or any administrative guides for the application of Council Regulation (EC) No 1005/2008 on illegal, unreported and unregulated fishing (IUU Regulation)?

Yes No

If yes, please detail and provide copies or provide link to the official national database

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Section 2. Information on administrative organisation²

2.1. Are there any changes as regards your administrative organisation since the last reporting exercise?

Yes No

2.2. *If yes, please fill in the following questions.* Does your country have different authorities/services to deal with the implementation of the IUU Regulation?

Yes No

2.3. If different authorities/services are involved, please distinguish between:

- the control of direct landings of third country fishing vessels;
 - Not applicable
- validation of catch certificates upon exports;
 - Financial Administration of RS
- verification of catch certificates for imports under direct landing;
 - Not applicable
- verification of catch certificates for imports arriving by other means than fishing vessels (e.g. by containers, trucks);
 - Financial Administration of RS
- validation and verifications of re-exports.
 - Financial Administration of RS

a) vertical co-operation (between local/regional authorities and head-quarter);

Please explain and describe this cooperation:

With regard to fisheries, the implementation of IUU Regulation is managed centrally, since there are no regions in Slovenia. The Ministry of Agriculture, Forestry and Food (MKGP) competent for fisheries has its headquarters in Ljubljana and the Inspectorate of the RS for Agriculture, Forestry, Hunting and Fisheries (IRSAE) has headquarters also in Ljubljana – however, the inspectors who deal directly with control of marine fisheries are located at the Slovenian coast, in the city of Koper. IRSAE is a body within the Ministry of Agriculture, Forestry and Food; the co-operation therefore takes places smoothly, also due to the smallness of the Slovenian fishery sector and administration.

The Financial Administration of RS (FURS) - Customs Administration deals with catch certification scheme; it is also the Single Liaison Office (SLO) in term of Article 39 of Commission Regulation No 1010/2009. It is managed centrally from Customs Administration

¹ This section 1 is to be filled-in by all Member States i.e. coastal and landlocked Member State.

² This section 2 is to be filled-in by all Member States i.e. coastal and landlocked Member State.

in Ljubljana. On the operational level, its organizational units – eight (8) regional financial offices (customs departments), implement these tasks. All eight (8) financial offices (customs departments) conduct checks and verification of catch certificates to authorise or suspend the importation of fishery products.

- b) co-operation with other authorities and allocation of tasks for various authorities in the implementation of the IUU Regulation (Fisheries, Health, Customs, Coast Guard, Navy, etc.);

Please explain and describe this cooperation:

Three authorities are involved in the implementation of the IUU Regulation: Financial Administration of RS, Customs Department – FURS (body within the Ministry of Finance); Inspectorate of the RS for Agriculture, Forestry, Hunting and Fisheries – IRSAE (body within the Ministry of Agriculture, Forestry and Food) and Ministry of Agriculture, Forestry and Food. Their scope of competences in relation to the implementation of the IUU Regulation is defined in national law – the national Decree on the implementation of the Regulation (EC) establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing.

- c) how many officials are involved in the implementation of the catch certification scheme?

Please specify the number of officials expressed in Full Time Equivalent (FTE):

For year 2018: 1.41 FTE and 2019: 1.39 FTE

Do the authorities of your country have the possibility to audit/verify a company for the purposes laid down in the IUU Regulation?

Yes No

If yes, which and how many audits/verifications have they undertaken since the last reporting exercise covering the period 2016-2017? Which authorities are responsible for audits/verifications? Please detail the results:

FURS and IRSAE have the possibility to audit/verify a company. In the concerned period we have not verified or audited any company for the purposes laid down in the IUU Regulation.

- 2.4. Does your country have freezones/freeports³ in which activities relevant to importation/exportation/processing of fishery products are authorised?

Yes No

Only import and export of fishery products in term of Article 2, Paragraph 11 and 13 of the IUU Regulation.

| |
|--|
| Section 3. Information on direct landings and transshipments of fishery products by third country fishing vessels⁴ (including information on port inspections and infringements)⁵ |
|--|

- 3.1. Please check if your list of designated ports correspond to the latest version of the Office Journal.

https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.C_.2019.276.01.0011.01.ENG

³ https://ec.europa.eu/taxation_customs/business/customs-procedures/what-is-importation/free-zones_en

⁴ Fishing vessels as defined in article 2.5 of the IUU Regulation

⁵ This section 3 refers to Chapter II (Articles 4 to 11) of the IUU Regulation and is applicable to coastal Member States. Landlocked Member States should not fill in this section.

3.2. Does your country have designated ports for direct landings or transshipment operations of fishery products and port services of third country fishing vessels (Article 5 of the IUU Regulation⁶)?

Yes No

If yes, please list your country's designated ports (including ports designated under Regional Fisheries Management Organisations requirements) and answer to questions 3.2. to 3.7.:

.....

3.3. How many landings and transshipments in designated ports of third country vessels have been recorded by your country between 1 January 2018 until 31 December 2019? How many inspections has your country carried out and how many infringements have been detected?

Please fill-in the table below (2018):

| Inspections of third country vessels in Member States ports (2018) | | | | | | | | |
|--|--|-----------------------------------|--|-----|-----|-----|-----|-------|
| Type of operation | Vessels | Figures (2018) | Flag of the third country vessel(s) ⁷ | | | | | Total |
| | | | Ex. NO | FS1 | FS2 | FS3 | FSx | |
| Landings | Non-EU vessels using MS designated ports | Number of landings | 100 | | | | | |
| | | Number of inspections | 10 | | | | | |
| | | % of inspections / landings | 10% | | | | | |
| | | Number of infringements | 3 | | | | | |
| Transshipments | Non-EU vessels using MS designated ports | Number of transshipments in ports | 2 | | | | | |
| | | Number of inspections | 0 | | | | | |
| | | % of inspections / transshipments | 0 | | | | | |
| | | Number of infringements | 0 | | | | | |

⁶ Please note that ports designated under Regional Fisheries Management Organisations must also be designated under the IUU Regulation with restrictions if necessary (species etc.)

⁷ ISO Alpha-2 country codes.

Please fill-in the table below (2019):

| Inspections of third country vessels in Member States ports (2019) | | | | | | | | |
|--|--|-----------------------------------|--|-----|-----|-----|-----|-------|
| Type of operation | Vessels | Figures (2019) | Flag of the third country vessel(s) ⁸ | | | | | Total |
| | | | Ex. NO | FS1 | FS2 | FS3 | FSx | |
| Landings | Non-EU vessels using MS designated ports | Number of landings | 100 | | | | | |
| | | Number of inspections | 10 | | | | | |
| | | % of inspections / landings | 10% | | | | | |
| | | Number of infringements | 3 | | | | | |
| Transshipments | Non-EU vessels using MS designated ports | Number of transshipments in ports | 2 | | | | | |
| | | Number of inspections | 2 | | | | | |
| | | % of inspections / transshipments | 100% | | | | | |
| | | Number of infringements | 0 | | | | | |

3.4. From the figures above, in the cases where your country detected infringements by third country vessels between 1 January 2018 and 31 December 2019, please specify the flag, the vessel's name, the type of infringement and the measures taken (Article 11 of the IUU Regulation).

Please fill-in the table below (2018):

| Flag of the third country vessel ⁹ | Name of the third country fishing vessel | Type of infringements | Measures taken |
|---|--|-----------------------|----------------|
| FS1 | | | |
| FS2 | | | |
| ... | | | |
| FSx | | | |

Please fill-in the table below (2019):

| Flag of the third country vessel ¹⁰ | Name of the third country fishing vessel | Type of infringements | Measures taken |
|--|--|-----------------------|----------------|
| FS1 | | | |

⁸ ISO Alpha-2 country codes.

⁹ ISO Alpha-2 country codes.

¹⁰ ISO Alpha-2 country codes.

| | | | |
|-----|--|--|--|
| FS2 | | | |
| ... | | | |
| FSx | | | |

3.5. Has your country had any problems with third country fishing vessels when implementing Articles 6 (prior notice) and 7 (authorisation) of the IUU Regulation?

Yes No

If yes, please detail the nature of the problems and the measures taken:

In 2018:

In 2019:

3.6. Since January 2018, has your country denied access to its ports to a fishing vessel for port services, activities of landing or transhipment of fishery products based on the conditions of the IUU Regulation?

Yes No

If yes, please detail the nature of the problem, the number of vessels concerned and their flags:

In 2018:

In 2019:

3.7. Do you have third country fishing vessel landings in transit in your country with final destination in another Member State? [Article 19.3 of the IUU Regulation]

Yes No

If yes, please indicate the number of landings in transit per year:

In 2018:

In 2019:

3.8. In order to determine the cases for port inspection, does your country use risk assessment criteria [cf. benchmarks for port inspections, Article 4 of Regulation (EC) No1010/2009]?

Yes No

Not applicable (e.g. in the absence of landings/transhipments from third countries)

If yes, please detail:

Section 4. Information on catch certification scheme for importation for the purpose of the IUU Regulation¹¹

4.1. How many catch certificates from non-EU countries were presented to the authorities of your country from 1 January 2018 until 31 December 2019? Please complete the following table by flag state validating the catch certificates, including in cases catch certificates are accompanied by processing statements.¹²

| Flag State (non-EU) ¹³ | 2018 | | 2019 | |
|-----------------------------------|----------------------------------|------------------|----------------------------------|------------------|
| | Transit to another Member states | Free circulation | Transit to another Member states | Free circulation |
| AR | 1 | 17 | 3 | 17 |
| CA | 20 | 1 | 10 | |
| CN | 12 | 22 | 3 | 20 |
| EC | 1 | 3 | | 4 |
| GH | 10 | | 12 | |
| ID | 1 | 7 | 6 | 6 |
| IN | | 10 | | 6 |
| KR | 2 | | 40 | 1 |
| MA | 4 | 1 | 7 | 7 |
| MV | 1 | | | |
| MZ | | 3 | | |
| MU | | | 2 | |
| NI | | | 1 | |
| NO | | | | 1 |
| NZ | | 2 | | 2 |
| OM | | | | 1 |
| PA | 1 | | | 1 |
| PH | 37 | 9 | 31 | |
| PG | 17 | 1 | 7 | |
| RU | 19 | 14 | 19 | 12 |
| SB | 1 | | | 1 |
| SV | 1 | | | |
| SY | 41 | 1 | 1 | |
| TN | | | | 26 |
| TW | 1 | | | |
| US | 8 | 6 | 5 | 9 |

¹¹ Section to be filled-in by all Member States. Article 2.11 of the IUU Regulation – "importation means the introduction of fishery products into the territory of the Union, including for transshipment purposes at ports in its territory"

¹² If catch certificates are submitted only for transshipment purposes, please specify.

¹³ ISO Alpha-2 country codes.

| | 2018 | | 2019 | |
|--------------|------------|------------|------------|------------|
| <i>UY</i> | | 3 | 4 | 1 |
| <i>VN</i> | 11 | 1 | 2 | |
| <i>ZA</i> | | 10 | | 12 |
| | 189 | 111 | 153 | 127 |
| Total | 300 | | 280 | |

4.2. From the number above, how many recognised RFMO catch certificates accompanied imports into your country? Please detail per RFMO certificate and year.

| RFMO document | 2018 | 2019 |
|---|-------------|-------------|
| <i>ICCAT (electronic)-bluefin tuna catch document</i> | none | none |
| <i>Dissostichus spp. (CCAMLR)</i> | none | none |
| <i>CCSBT CDS</i> | none | none |
| Total | none | none |

4.3. How many catch certificates from EU Member States were presented to the authorities of your country from 1 January 2018 until 31 December 2019?

| Flag State (EU) | 2018 | 2019 |
|-----------------|--------------------------|--------------------------|
| <i>ES</i> | 3 | 6 |
| <i>FR</i> | 85 transit to another MS | 56 transit to another MS |
| <i>IT</i> | 8 transit to another MS | 19 transit to another MS |
| Total | 96 | 81 |

4.4. From the number above, how many recognised RFMO catch certificates from EU Member States accompanied imports into your country? Please detail per RFMO certificate and year.

| RFMO document | 2018 | 2019 |
|---|-------------|-------------|
| <i>ICCAT (electronic)-bluefin tuna catch document</i> | none | none |
| <i>Dissostichus spp. (CCAMLR)</i> | none | none |
| <i>CCSBT CDS</i> | none | none |
| Total | none | none |

4.5. Has your country received processing statements from 1 January 2018 until 31 December 2019?

Yes

No

If yes, how many processing statements under Article 14.2 accompanied imports into your country? If possible, please provide details per year and per processing country.

| Processing non-EU State ¹⁴ | 2018 | | 2019 | |
|---------------------------------------|----------------------------------|------------------|----------------------------------|------------------|
| | Transit to another Member states | Free circulation | Transit to another Member states | Free circulation |
| CN | 60 | 19 | 29 | 22 |
| EC | 2 | 2 | 1 | 4 |
| MU | | 2 | | 3 |
| PG | 8 | 7 | 8 | 1 |
| TH | 103 | | 67 | 1 |
| VN | 15 | | | 1 |
| XS | | 1 | 1 | |
| ID | | | 2 | |
| | 188 | 31 | 108 | 32 |
| Total | | 219 | | 140 |

4.6. Please indicate if the information in processing statements referring to the corresponding catch certificates is retained and recorded:

Yes No

Not applicable (e.g. in the absence of processing statements received from non-EU countries in 2018-2019)

4.7. Has your country received requests to authorise APEO¹⁵s in 2018-2019?

Yes No

If yes, how many requests has your country received and how many APEOs have been authorised?

.....

4.8. Has your country adopted administrative rules referring to the management and control of APEO in 2018-2019?

Yes No

Not applicable (e.g. absence of APEO request)

If yes, please detail:

.....

4.9. Has your country validated re-export certificates for products imported from 1 January 2018 until 31 December 2019?

Yes No

¹⁴ ISO Alpha-2 country codes.

¹⁵ Approved Economic Operators – IUU Regulation, Article 16 and Implementing Regulation (EC) 1010/2009, Chapter II

If yes, how many re-export certificates? Please detail per year and, if possible, per destination country:

| Destination country (non-EU)¹⁶ | 2018 | 2019 |
|--|-------------|-------------|
| NZ | 1 | |
| MK | | 4 |
| Total | 1 | 4 |

4.10. Does your country monitor if the catches for which your country has validated a re-export certificate actually leave the EU?

Yes *No*

Not applicable (e.g. in the absence of validation of re-export certificates in 2018-2019)

If yes, please detail:

The re-export certificate is a part of the export customs declaration (SAD). It has to be attached to the SAD and entered in the box 44 SAD; therefore, we do monitor if consignment leave the EU.

4.11. Has your country established any IT tools to monitor the catch certificates and processing statements accompanying imports?

Yes *No*

If yes, does it include a module for re-exportation of imported catches?

Yes *No*

In order to monitor the number of submitted catch certificate for consignment release into free circulation in Slovenia a simple electronic database has been developed. The catch certificate's data is recorded in the database along with the information contained in the processing statement. The copies of original documents are also uploaded in the database.

For consignment which entry into the territory of Slovenia and placed under a transit procedure and transported to another Member States (Article 19/1 of IUU Regulation) we keep records in the form of an Excel table.

4.12. Does your country implement the provisions regarding transit under Article 19.2 at the point of entry or the place of destination?

At the point of entry *At the place of destination* *Not implemented*

¹⁶ ISO Alpha-2 country codes.

Section 5. Information on catch certification scheme for exportation¹⁷

5.1. Has your country established a procedure for validation of catch certificates for exportation of catches from own vessels in accordance with Article 15?

Yes No

Not applicable (e.g. in the absence of validation of catch certificates for exportation in 2018-2019)

If yes, please explain briefly the established procedure and answer questions 5.2 to 5.5.

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5.2. Has your country validated catch certificates for exportation in 2018-2019 in accordance with Article 15?

Yes No

If yes, how many catch certificates did your country validate from 1 January 2018 to 31 December 2019? If possible, please provide details per requesting third country/country of destination in the following table:

| Destination State ¹⁸ | Year | |
|---------------------------------|------|------|
| | 2018 | 2019 |
| <i>Third Country 1</i> | | |
| <i>Third Country 2</i> | | |
| ... | | |
| <i>Third Country x</i> | | |
| Total | | |

5.3. Has your country established any IT tool to monitor the catch certificates validated for exports stemming from own vessels?

Yes No

5.4. Does your country monitor that the catches for which your country has validated catch certificates actually leave the EU?

Yes No

Not applicable (e.g. in the absence of validation of catch certificates for exportation in 2018-2019)

5.5. Has your country refused the validation of a catch certificate between 1 January 2018 and 31 December 2019?

Yes No

¹⁷ Section to be filled-in by flag Member States.

¹⁸ ISO Alpha-2 country codes.

Not applicable (e.g. in the absence of request for validation of catch certificates for exportation in 2018-2019)

If yes, please detail:

Number (per year):

Reason:

Follow-up:

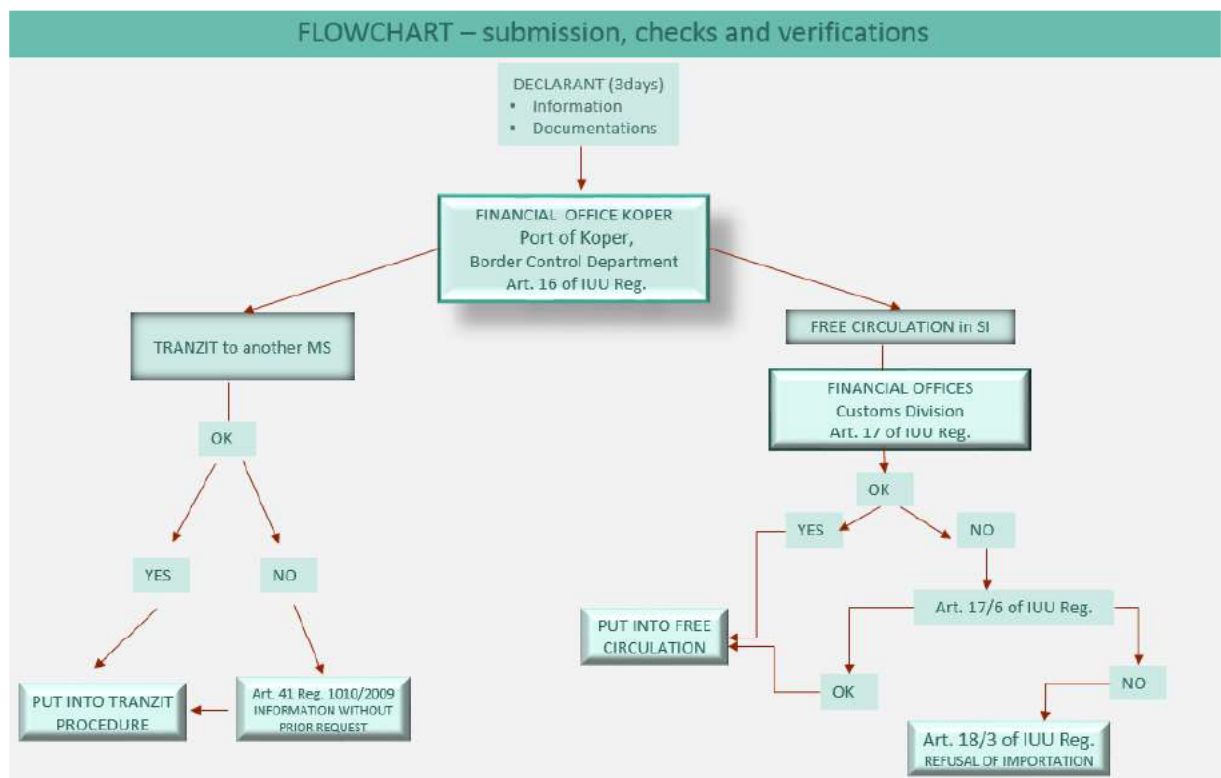
Section 6. Information on verifications of catch certificates for importation according to Article 17.1 to 5 of IUU Regulation¹⁹

6.1. Has your country established a procedure for verification of catch certificates for importation in accordance with Article 17.2?

Yes No

If yes, please detail:

It is shown from the below flowchart.



6.2. How many catch certificates have been verified by your administration from 1 January 2018 until 31 December 2019? Please specify, separately for each year:

¹⁹ Section to be filled-in by all Member States

| Flag State of origin (EU or non-EU) ²⁰ | Number of verifications 2018 | | Number of verifications 2019 | |
|--|--|---|--|---------------------------------|
| | No of basic document-based verifications ²¹ | No of in-depth verifications ²² | No of basic document-based verifications | No of in-depth verifications |
| AR | 1 | 17 | 3 | 17 |
| CA | 20 | 1 | 10 | |
| CN | 12 | 22 | 3 | 20 |
| EC | 1 | 3 | | 4 |
| ES | | 3 | | 6 |
| FR | 85 | | 56 | |
| GH | 10 | | 12 | |
| ID | 1 | 7 | 6 | 6 |
| IN | | 10 | | 6 |
| IT | 8 | | 19 | |
| KR | 2 | | 40 | 1 |
| MA | 4 | 1 | 7 | 7 |
| MV | 1 | | | |
| MZ | | 3 | | |
| MU | | | 2 | |
| NI | | | 1 | |
| NO | | | | 1 |
| NZ | | 2 | | 2 |
| OM | | | | 1 |
| PA | 1 | | | 1 |
| PH | 37 | 9 | 31 | |

²⁰ ISO Alpha-2 country codes.

²¹ See fields CC1 to CC6 (*Preliminary overview checks “helicopter view”*) of the EFCA Common methodology for IUU catch certificates verification and cross-checks.

²² See fields CC7 to CC32 (*Verify and cross-check information related to the form, flag state, validating authority, fishing vessel, product(s), transshipment operations*) of the EFCA Common methodology for IUU catch certificates verification and cross-checks.

| Flag State of origin (EU or non-EU) ²⁰ | Number of verifications 2018 | | Number of verifications 2019 | |
|--|--|--|--|------------------------------|
| | No of basic document-based verifications ²¹ | No of in-depth verifications ²² | No of basic document-based verifications | No of in-depth verifications |
| <i>PG</i> | 17 | 1 | 7 | |
| <i>RU</i> | 19 | 14 | 19 | 12 |
| <i>SB</i> | 1 | | | 1 |
| <i>SV</i> | 1 | | | |
| <i>SY</i> | 41 | 1 | 1 | |
| <i>TN</i> | | | | 26 |
| <i>TW</i> | 1 | | | |
| <i>US</i> | 8 | 6 | 5 | 9 |
| <i>UY</i> | | 3 | 4 | 1 |
| <i>VN</i> | 11 | 1 | 2 | |
| <i>ZA</i> | | 10 | | 12 |
| Total | 282 | 114 | 228 | 133 |

Note: The selection of catch certificate for verification is not carried out on the basis of risk assessment as customs perform 100% checks and verifications. Where at the point of entry into Slovenia, fishery products are placed under transit procedure and transported to another Member States, we carry out control in accordance with Article 16 of IUU Regulation (basic document-based verifications, as for example: flag state notification, flag state status, flag state validation, is the catch certificate related to and covers the consignment). For consignment which release into free circulation in Slovenia we carry out in-depth verifications, but not always cover all the points indicated in the EFCA Common methodology for IUU catch certificates verification and cross-checks. It depends on what is found on basic document-based verification (irregularities, inconsistencies... etc.). An important part of the verification is the identification of fishery products falling within the scope of the mutual assistance letters. To make this part of verification as easy as possible we have designed a simple application called “Catch certification scheme – useful information” where are besides mutual assistance message provided by EK or MS also data and links for verifications purposes, all placed in on place, namely:

- SMS application (notification, template, seal, signature),
- EFCA Common methodology,
- Ships register (flag state principle),
- RFMOs websites (applicable conservation and management measures),
- FAO area, Fish base,
- Traceability (Marine Traffic, ConTraffic),
- Excluded products (Annex I IUU Regulation),

Section 7. Verification requests to flag States²³

7.1. Has your country sent requests for verifications under Article 17.6 of the IUU Regulation to other countries authorities in 2018-2019?

Yes No

If yes, how many requests for verifications? *Note: please provide separate data for 2018 and 2019:*

| Flag States ²⁴ | No of requests for verifications 2018 | Justifications (Articles 17.4 and 17.6 of the IUU Regulation)- | No of requests for verifications 2019 | Justifications (Articles 17.4 and 17.6 of the IUU Regulation) |
|---------------------------|---------------------------------------|---|---------------------------------------|---|
| AR | 4 | inconsistence in date split of consignment in MS checking indirect importation statement (14/1 IUU Regulation) authenticity of the CC | | |
| MA | | | 1 | flag state validation |
| OM | | | 1 | authenticity of the CC fishing licence |
| RU | | | 2 | authenticity of the CC |
| SB | | | 1 | flag state authority validation |
| UY | 1 | flag state authority validation | | |
| Total | 5 | | 5 | |

7.2. How many requests for verification were not replied to by the other countries' authorities within the deadline provided in Article 17.6 of the IUU Regulation? Does your country in these situations send a reminder to the authorities of the country in question? Could you please specify when the request, despite reminder(s), remained unanswered? What measures have you taken in that case (i.e. denial of importation) [Please provide separate data for 2018 and 2019]

2018: none

2019: one (Morocco); we have sent a reminder an also letter (notification of refusal of consignment) and after that we have received a reply. We sent request for verification on 16 May 2019 and got reply on 14 Jun 2019.

7.3. Was the quality of the answers provided overall sufficient to satisfy the request?

Yes No

7.4. Has your country been using IT systems developed by third countries allowing for a full or partly verification of catch certificates and how many verifications were made through these systems (approximately)?

²³ Section to be filled-in by all Member States

²⁴ ISO Alpha-2 country codes.

YES; We have used IT system developed by RU, NO, US, IN, MX, TR, CA and EC. We use it for all catch certificates submitted (release for free circulation in Slovenia). The use of IT systems developed by third countries depended on them giving us the access to their IT system (our request) or information provided about IT system (for those countries who has free access). We would like to note that we probably do not have information about all third countries which had developed IT system; therefore, it would be very useful if Commission could provide us this information.

Section 8. Information on refusal of importations (Article 18 of the IUU Regulation)²⁵

8.1. Has your country refused any imports from 1 January 2018 until 31 December 2019? *Note: please only consider refusals based on the IUU Regulation, not for other reasons e.g. Food Safety, Customs legislation, etc.*

Yes No

If yes, please provide details in the table below:

| Reason for refusal of importation | 2018 | | 2019 | |
|--|--------------------------|-----|--------------------------|---|
| | Flag State ²⁶ | No. | Flag State ²⁷ | No. |
| Non-submission of a catch certificate for products to be imported. | | | Bosnia and Hercegovina | 1 consignment: (14.446 kg net weight - tuna pate; 1604 2070 CN) |
| The products intended for importation are not the same as those mentioned in the catch certificate. | | | | |
| The catch certificate is not validated by the notified public authority of the flag State | | | | |
| The catch certificate does not indicate all the required information. | | | | |
| The importer is not in a position to prove that the fishery products comply with the conditions of Article 14.1 or 2. | | | | |
| A fishing vessel figuring on the catch certificate as vessel of origin of the catches is included in the Union IUU vessel list or in the IUU vessel lists referred to in Article 30. | | | | |
| The catch certificate has been validated by the authorities of a flag State identified as a non- | | | | |

²⁵ Section to be filled-in by all Member States

²⁶ ISO Alpha-2 country codes.

²⁷ ISO Alpha-2 country codes.

| Reason for refusal of importation | 2018 | | 2019 | |
|--|--------------------------|-----|--------------------------|-----|
| | Flag State ²⁶ | No. | Flag State ²⁷ | No. |
| cooperating State in accordance with Article 31 | | | | |
| Further to the request for verification (Article 18.2) | | | | |

8.2. If the answer to 8.1 is yes, what measures were taken by your authorities towards the refused fishery products?

We refused the consignment regarding Article 18/1/a of IUU Regulation and it has sent back to Bosnia and Hercegovina.

8.3. In case of refusal of importation, did the operators contest the decision of the authorities of your country?

Yes No

If yes, please detail:

Section 9. Information on trade flows²⁸

9.1. Did your country note a change²⁹ of imports of fishery products since the last reporting exercise covering the period 2016-2017?

Yes No

If yes, please detail:

In comparison between the last report in 2018 (period 2016-2017) and this report in 2020 (period 2018-2019) we have to take into account that in the report 2020 the counts of catch certificates submitted in Slovenia was considered different than in the report 2018. In the 2018 the catch certificate was considered only once, no matter if the catch certificate was submitted more than once. On the basis of EFCA's clarification regarding this matter in the 2020 report catch certificate was considered as many times as it was submitted; of course each time with different processing statement. Therefore, it is hard to compare data between this two mentioned reports. Nevertheless, the following is noticeable:

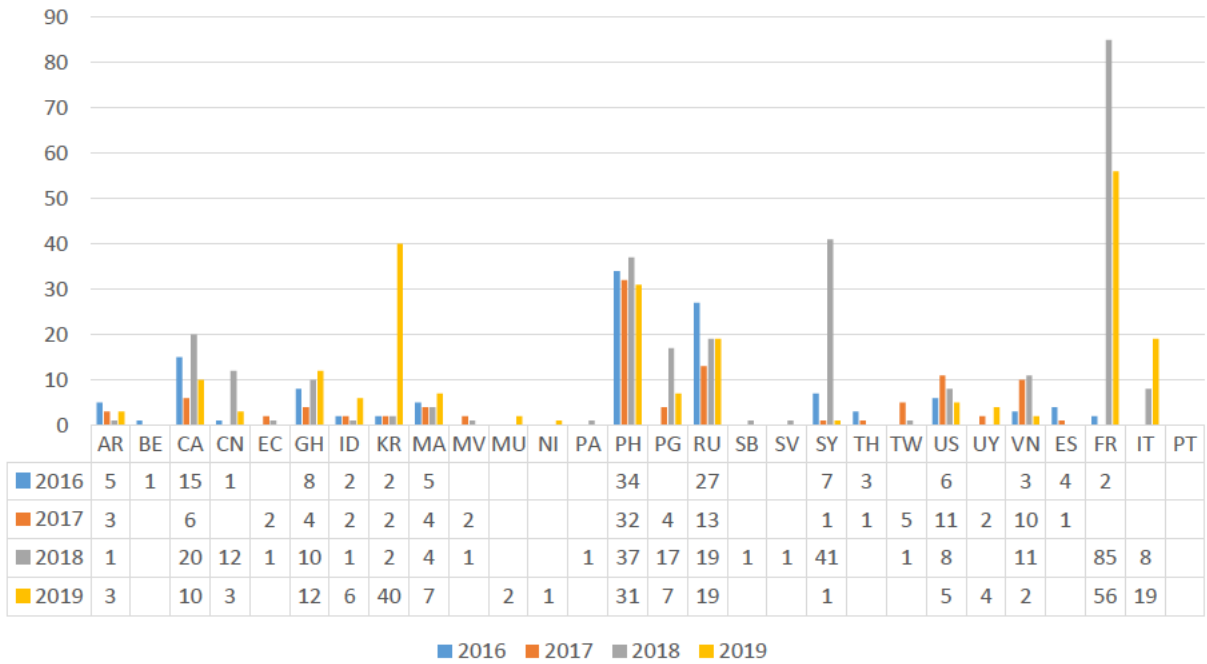
- new trading from Tunisia in the year 2019 for consignments release into free circulation;
- number of catch certificates submitted in Slovenia and put into transit procedure into another Member States is increased in the year 2018 (CA, SY and FR) and in the year 2019 (KR).

9.2. Please provide information, deriving from your country's statistical data, concerning change of trade patterns in imports of fishery products into your country:

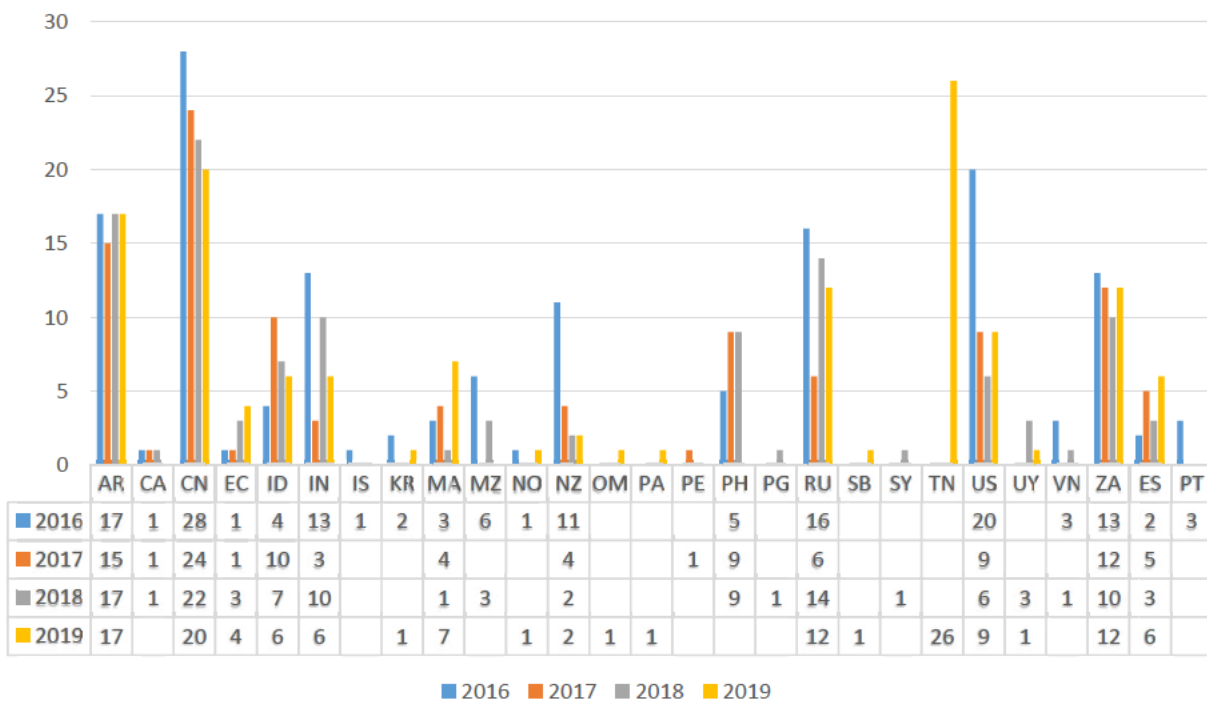
²⁸ Section to be filled-in by all Member States

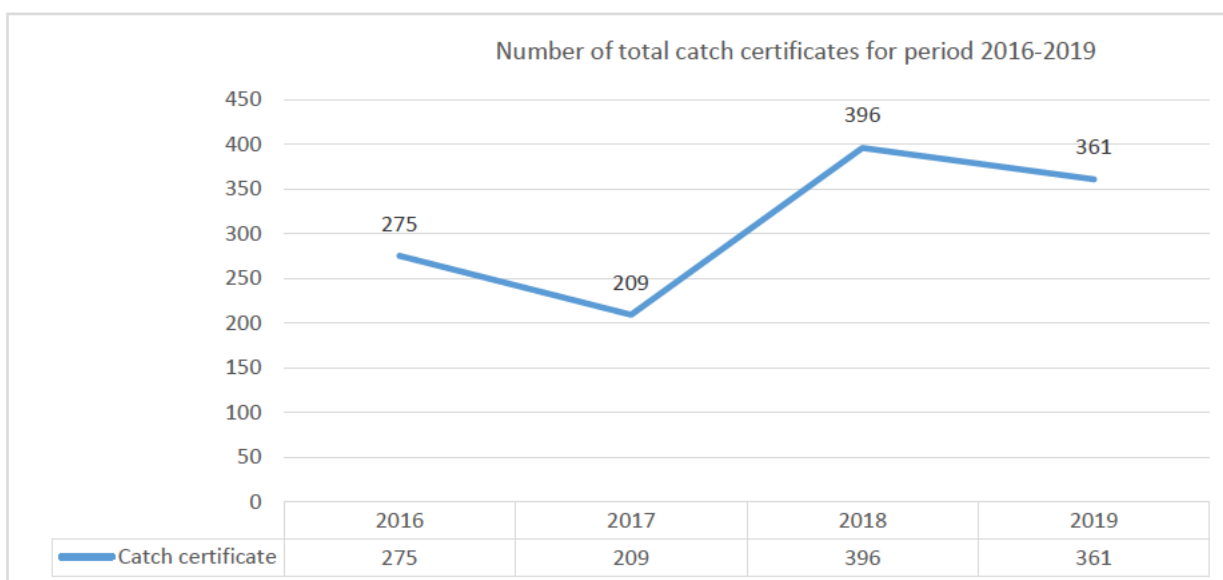
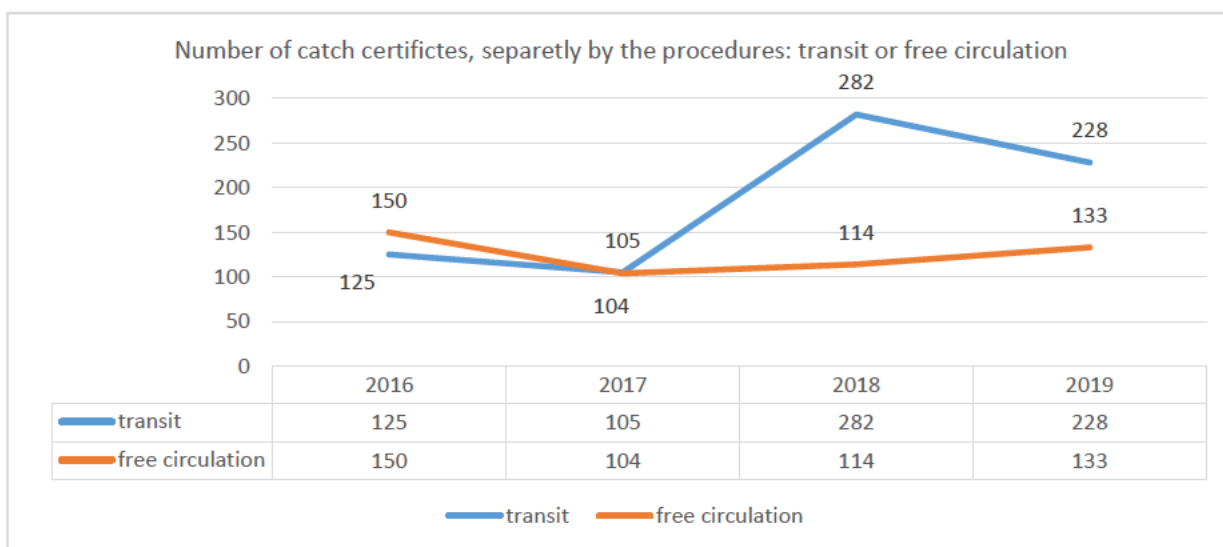
²⁹ For example: new kinds of fishery products, new trade patterns or significant and sudden increase in trade volume for a certain species and/or certain third countries.

Catch certificates: submitted in Slovenia and transit into another MS from 2016 - 2019



Catch certificates: release into free circulation in Slovenia from 2016 - 2019





Section 10. Information on mutual assistance³⁰

10.1. Since the last reporting exercise covering the period 2016-2017, how many mutual assistance messages of the Commission has your country replied to?

Please provide separate data for 2018 and 2019 (if any)

2018: 5 replies (publication in platform FISHNET is also taken into account)

2019: 5 replies (publication in platform FISHNET is also taken into account)

10.2. Since the last reporting exercise covering the period 2016-2017, has your country sent any mutual assistance message to the Commission/other Member States?

Please provide separate data for 2018 and 2019 (if any)

³⁰ Section to be filled-in by all Member States

2018: 103 MA sent to Croatia and also Commission in copy

2019: 238 MA sent to Croatia and also Commission in copy

Section 11. Information on cooperation with third countries³¹

11.1. Apart from verifications and refusals under Articles 17 and 18, has your country had information exchange with third countries on issues related to the implementation of the IUU Regulation, such as follow-up of cases concerning nationals, consignments, trade flows, operators, private fishing licencing, as well as the investigation of criminal activities and serious infringements (Article 42)?

Yes No

If yes, please detail (please provide separate data for 2018 and 2019, if any).

.....

Section 12. Information on nationals³²

12.1. Since the last reporting exercise covering the period 2016-2017, has your country implemented or modified existing measures to ensure that your country can take appropriate action with regards to nationals involved in IUU fishing in accordance with Article 39 of the IUU Regulation?

Yes No

If yes, please detail:

12.2. What measures has your country taken to encourage nationals to notify any information on interests in third country vessels (Article 40.1)?

Not relevant in the case of Slovenia.

12.3. Has your country endeavoured to obtain information on arrangements between nationals and third countries allowing reflagging of their vessels in accordance with Article 40.4?

Yes No

If yes, please detail:

12.4. If yes to any of the above, how many cases have your country dealt with and which administrative or penal follow-up was given?

Please provide details:

12.5. Has your country put in place procedures to ensure that nationals do not sell or export any fishing vessels to operators involved in the operation, management or ownership of fishing vessels included in the Union IUU vessel list (Article 40.2)?

Yes No

If yes, please provide details:

³¹ Section to be filled-in by all Member States

³² Section to be filled-in by all Member States

Adopted a Regulation implementing the regulations (EC) establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing (Official Gazette RS, Nos. 50/16, 76/17).

12.6. Has your country made use of Article 40.3 and removed public aid under national aid regimes or under Union funds to operators involved in the operation, management or ownership of fishing vessels included in the Union IUU vessel list?

Yes *No*

If yes, please detail:

Section 13. Infringements (Chapter IX of the IUU Regulation) and Sightings (Chapter X of the IUU Regulation)³³

13.1. Has your country detected serious infringements as defined in Article 42 of the IUU Regulation from 1 January 2018 until 31 December 2019?

Yes *No*

If yes, please detail separately for each year the number of serious infringements, nature and sanctions applied:

| Flag State of the vessel or nationality of the operator (EU and non-EU) ³⁴ | Serious infringements detected in 2018: | | | Serious infringements detected in 2019: | | |
|---|---|---|-------------------|---|---|-------------------|
| | Number | Nature | Sanctions applied | Number | Nature | Sanctions applied |
| HR | 210 | Obstruction of work of officials in the exercise of their duties; fishing without a valid licence | fine | 225 | Obstruction of work of officials in the exercise of their duties; fishing without a valid licence | fine |
| Total | 210 | | | 225 | | |

13.2. Has your country applied or adapted its levels of administrative sanctions in accordance with Article 44?

Yes *No*

³³ Section to be filled-in by all Member States

³⁴ ISO Alpha-2 country codes.

If yes, please detail:

13.3. Has your country issued sighting reports from 1 January 2018 until 31 December 2019?

Yes *No*

If yes, how many sighting reports were issued by your country from 1 January 2018 until 31 December 2019?

| Flag State of the sighted vessel (EU and non-EU) ³⁵ | No of sighting reports issued in 2018 | No of sighting reports issued in 2019 |
|--|---------------------------------------|---------------------------------------|
| HR | 718 | 1045 |
| Total | 718 | 1045 |

13.4. Since the last reporting exercise covering the period 2016-2017, has your country received any sighting reports for its own vessels from other competent authorities?

Yes *No*

If yes, please detail follow-up (in accordance with Article 50 of the IUU Regulation).

The reports were sent from Croatian authorities to Slovenia about Slovenian fishing vessels, which were fishing in the sea which belongs to Slovenia according to decision of the Arbitral Court. Therefore, Slovenia did not take any actions.

Section 14. General

14.1. In the reporting period 2018-2019, what have been the main difficulties that your country has encountered in implementing the IUU Regulation, including the catch certification scheme?

- Validation of re-export certificate.

14.2. Which improvements would your country suggest to the Regulation that would make implementation smoother?

Our suggestions:

- each Annex IV should be numbered with a unique number by the processing third country;

Section 15. Any other comments

- information about all third countries which had developed IT system; to use for verification purposes;

• • •

³⁵ ISO Alpha-2 country codes.